

Functorial equivalences

Jan Pavlík
Technical University in Brno

Universal pairs

Now we can reformulate the problem (we call it *pair version* of the problem).

(**) Is there an object in R -pair with nonisomorphic object components?

If there is such, then we call it a *solution*. Exploring the category R -pair will help us to find it, if it exists. Consider the forgetful functor R -pair $\rightarrow \mathcal{C}, (M, N; t) \mapsto M$. Now we can ask for existence of the free objects. If they exist, they give us a rough description of the objects. Therefore we focus on them and we call them *universal pairs*.

At first we show how to find the universal pair for a chosen instance of the problem using the algebraic methods.

Instances of the problem

1. Let C be a set, A, B be the sets. $\text{hom}(C, A) \cong \text{hom}(C, B) \stackrel{?}{\Rightarrow} A \cong B$

2. $F, G : \text{Set} \rightarrow \text{Set}$,

$$F \times F \cong G \times G \stackrel{?}{\Rightarrow} F \cong G$$

Considering a functor $H_2 : \text{Set}^{\text{Set}} \rightarrow \text{Set}^{\text{Set}}$ such that for every $P : \text{Set} \rightarrow \text{Set}$ holds $H_2(P) = P \times P$, and for every natural transformation $\phi : P \rightarrow Q$ between the set functors we have $H_2(\phi) = (\phi, \phi)$, then the question is:

$$H_2(F) \cong H_2(G) \stackrel{?}{\Rightarrow} F \cong G$$

3. $F, G : \text{Set} \rightarrow \text{Set}$, let there be an isomorphism $t : \text{Id} \times F \rightarrow \text{Id} \times G$ such that the diagram commutes.

$$\begin{array}{ccc}
 \text{Id} \times F & \xrightarrow{t} & \text{Id} \times G \\
 & \searrow p_{\text{Id}}^F & \swarrow p_{\text{Id}}^G \\
 & \text{Id} &
 \end{array}$$

Does it imply $F \cong G$?

How to solve the problems?

The problem 1 is easy to solve. Since $\text{hom}(C, A) = A^C$, then the answer depends on the cardinality of C . If C is nonempty finite set, then the answer is positive and its pair version has empty solution. If C is empty or infinite, then the answer is negative, the solution contains e.g. the pair $(2, 3; \gamma)$, where $\gamma : 2^C \rightarrow 3^C$ is an isomorphism.

The problem 2 is similar to 1 for the case $C = 2$, but it is extended up to the category of set functors. More precisely $H_2(F) = \text{hom}(2, -) \circ F$. To solve it is much more difficult. In fact, during this talk we will not find the answer. We can just approach to it using the universal pair.

The problem 3 is actually instance of $(*)$ such that $R : \text{Set}^{\text{Set}} \rightarrow \mathcal{W}$,
 $\mathcal{W} =$ objects: $(M, m), M : \text{Set} \rightarrow \text{Set}, m : M \rightarrow \text{Id}$
morphisms: the natural transformations between functor-components compatible with the transformations to Id

$R(F) = (\text{Id} \times F, p_{\text{Id}}^F)$. In this case we will find the answer. We show the result at the end of this presentation.

Construction of the universal pair for the problem (2)

Since $H_2(F) = \text{hom}(2, -) \circ F$, it will be sufficient to find the universal pair for the problem 1, where $C = 2$. Let there be two sets A, B , such that there is isomorphism $t : A^2 \rightarrow B^2$. Therefore there are mappings $t_0, t_1 : A^2 \rightarrow B, t'_0, t'_1 : B^2 \rightarrow A$ satisfying for $a, b \in A, c, d \in B$

$$(a, b) \xrightarrow{t} (t_0(a, b), t_1(a, b)) \xrightarrow{t^{-1}} (t'_0(t_0(a, b), t_1(a, b)), t'_1(t_0(a, b), t_1(a, b)))$$

$$(c, d) \xrightarrow{t^{-1}} (t'_0(c, d), t'_1(c, d)) \xrightarrow{t} (t_0(t'_0(c, d), t'_1(c, d)), t_1(t'_0(c, d), t'_1(c, d)))$$

Therefore (A, B) has a structure of 2-sorted algebra with the signature $\Sigma = \{s_0, s_1, s'_0, s'_1\}$, $s_0, s_1 : (0, 0) \rightarrow 1$, $s'_0, s'_1 : (1, 1) \rightarrow 0$, where t_0, t_1, t'_0, t'_1 , respectively, are the evaluations of the operation symbols.

Since t^{-1} and t are mutually inverse, the algebra satisfies the *duality identities*:

$$\begin{aligned} s'_0(s_0(x, y), s_1(x, y)) &= x & s'_1(s_0(x, y), s_1(x, y)) &= y \\ s_0(s'_0(u, v), s'_1(u, v)) &= u & s_1(s'_0(u, v), s'_1(u, v)) &= v. \end{aligned}$$

Conversely, the duality identities yield the isomorphism between the second powers of the supports. Such an algebra will be called *square-iso algebra*.

The many-sorted algebras behave similarly as the one-sorted, e.g. for every set A and for a chosen item i of the list of algebra supports we can find a *free many-sorted algebra* over A in the i -labeled support such that A maps canonically to its i -labeled support.

Let A be a set, \mathcal{A} be the free square-iso algebra over A in the 0-labeled support, $(P(A), Q(A))$ be the carrier of \mathcal{A} . Then $P(A)$ is a set of all the "correctly" composed terms in language of Σ with the variables from A and with the most outer symbol being a variable or s'_0 or s'_1 factorized over the duality identities. $Q(A)$ differs from $P(A)$ only in the most outer symbols, here these are s_0 , or s_1 .

The sets $P(A)$, $Q(A)$ are defined functorially, hence we have the functors $P, Q : \mathcal{Set} \rightarrow \mathcal{Set}$. Since \mathcal{A} is a square-iso algebra, there is an isomorphism $\tau_A : P(A)^2 \rightarrow Q(A)^2$ which gives a rise to the natural transformation $\tau : \text{hom}(2, -) \circ P \rightarrow \text{hom}(2, -) \circ Q$. Therefore $\text{hom}(2, -) \circ P \cong \text{hom}(2, -) \circ Q$ and $(P \circ F, Q \circ F; \tau F)$ is a H_2 -pair for every F . The freeness is given by $(P(A), Q(A))$ being the carrier of a free algebra. But still do not know, if $P \cong Q$.

Adjunction

All three shown instances of the basic problem actually have the same property: the category \mathcal{C} has all colimits and functor R is a *right adjoint* and preserves the directed colimits (in (1) only if \mathcal{C} is finite).

Recall that $L \dashv R : (\eta, \epsilon) : \mathcal{C} \rightarrow \mathcal{D}$ is the adjunction (L is a left adjoint to R and R is a right adjoint to L) iff

$R : \mathcal{C} \rightarrow \mathcal{D}$, $L : \mathcal{D} \rightarrow \mathcal{C}$ are the functors, $\eta : \text{Id}_{\mathcal{D}} \rightarrow RL$, $\epsilon : LR \rightarrow \text{Id}_{\mathcal{C}}$ are the natural transformations such that there is one-to-one correspondence between the morphisms $f : A \rightarrow R(B)$ in \mathcal{D} and $\tilde{f} : L(A) \rightarrow B$ in \mathcal{C} , namely

$$\begin{array}{ccc}
 A & \xrightarrow{f} & RB \\
 \eta_A \searrow & & \nearrow R\tilde{f} \\
 & RLA &
 \end{array}
 \qquad
 \begin{array}{ccc}
 LA & \xrightarrow{\tilde{f}} & B \\
 Lf \searrow & & \nearrow \epsilon_B \\
 & LRB &
 \end{array}$$

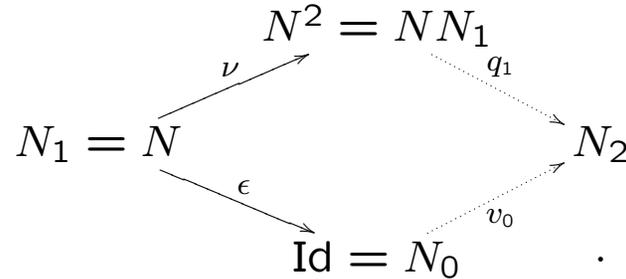
The examples of adjunction appear almost in every part of mathematics, e.g. $(C \times -, \text{hom}(C, -))$ on Set , $(P \otimes -, \text{hom}_R(P, -))$ on modules over a commutative ring R , $(\text{Free}_\tau, \text{Under}_\tau)$ for a type τ and many similar cases of this kind, *(reflexion, embedding)* of the reflexive subcategory, etc.

Theorem 1 *Let $L \dashv R : (\eta, \epsilon) : \mathcal{C} \rightarrow \mathcal{D}$ be the adjunction such that \mathcal{C} has all colimits and R preserves the directed colimits. Then the category of R -pairs has the free objects.*

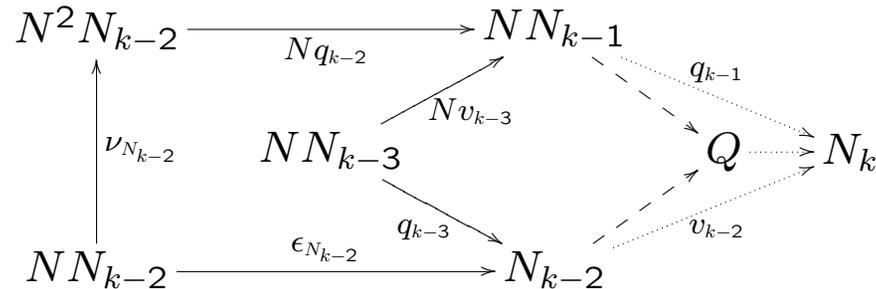
The proof, which will not be fully shown here, is constructive and we sketch the construction.

Construction of the free R -pair.

The adjunction yields the *comonad* (N, ϵ, ν) such that $N = L \circ R$ and $\nu = L\eta R : N \rightarrow N^2$, $\epsilon : N \rightarrow \text{Id}$ are natural transformations given by adjunction. Then we can construct the following diagrams. Let $N_0 = \text{Id}$, $N_1 = N$ and let $q_0 : NN_0 \rightarrow N_1$ be the identity on N . We define N_2 as a pushout of ϵ and ν , i.e.



Since we already know $N_0, N_1, N_2, q_0, q_1, v_0$ we define recursively for $n \in \mathbb{N}$, $n \geq 3$ the object N_n and the morphisms $q_{n-1} : NN_{n-1} \rightarrow N_n$, $v_n : N_{n-2} \rightarrow N_n$ as the colimit of the diagram drawn by solid lines:



We define the functors N_S and N_L as the directed colimits in of the chains \mathcal{C} :

$$\begin{array}{ccccccc}
 N_0 & \xrightarrow{v_0} & N_2 & \xrightarrow{v_2} & N_4 & \cdots & N_S \\
 & & & & & & \\
 N_1 & \xrightarrow{v_1} & N_3 & \xrightarrow{v_3} & N_5 & \cdots & N_L
 \end{array}$$

One can prove, that for every $k \in \omega$ holds $Rv_k = q_{k+1} \tilde{q}_k$. Then R -images of these chains have the same colimit C .

$$\begin{array}{ccccccc}
 RN_0 & \xrightarrow{Rv_0} & RN_2 & \xrightarrow{Rv_2} & RN_4 & \cdots & C \\
 & \searrow \tilde{q}_0 & & & & & \downarrow iso \\
 & & RN_1 & \xrightarrow{Rv_1} & RN_3 & \xrightarrow{Rv_3} & C' \\
 & & \nearrow \tilde{q}_1 & & \nearrow \tilde{q}_1 & & \\
 & & & \searrow \tilde{q}_2 & & \searrow \tilde{q}_4 & \\
 & & & & RN_5 & \cdots &
 \end{array}$$

If R preserves the directed colimits, then $RN_S \cong C \cong RN_L$. Therefore there is an isomorphism $\tau : RN_S \rightarrow RN_L$ and $(N_S, N_L; \tau)$ is an R -pair.

Construction of the universal pair for the problem (3)

This procedure shown above can be used to find the universal pairs for every instance of problem (*). In case of (3),

$$(N_S, N_L; \tau) \cong (Mon_S \times F, Mon_L \times F; \tau),$$

where $Mon_S(A), Mon_L(A)$ are the subsets of the free monoid over a set A satisfying literally (i.e. on the variables) the equation

$$aa = 1,$$

namely $Mon_S(A)$ and $Mon_L(A)$ contains all terms of the even and odd "length", respectively.

The transformation $\tau_F : \text{Id} \times Mon_S \times F \rightarrow \text{Id} \times Mon_S \times F$ is actually a pair of transformations $\tau_F = (\sigma, \text{id}_F)$, where $\sigma : \text{Id} \times Mon_S \rightarrow \text{Id} \times Mon_S$ is an isomorphism defined on a set A as follows:

$$\tau_A(a, x) = (a, ax), \tau_A^{-1}(a, y) = (a, ay).$$

Theorem 2 *One can prove that $Mon_S \not\cong Mon_L$, i.e. the answer for the question 3 is negative.*