

How subalgebra lattices of direct powers determine an algebra? in particular Clones of entropic algebras with unit

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Outline

The problem(s)

The problem via Pol – Inv

Known results

- Results for general algebras

- Results for special algebras: Groups

Entropic algebras with weak unit

Further results

Some open problems

Final remarks

Preliminary remark

This talk was inspired by papers of
K. Kearnes and A. Szendrei

- *Clones of finite groups*. Algebra Universalis 54 (2005), 23–52.
- *Groups with identical subgroup lattices in all powers*. J. Group Theory, 7 (2004), 385–402.
- *Clones of 2-step nilpotent groups*. Algebra Universalis

First results presented by R. Pöschel
at AAA74 (Tampere 2007) and AAA76 (Linz 2008)

Now generalization

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Notations

$\mathcal{A} = \langle A, (f_i)_{i \in I} \rangle = \langle A, F \rangle$ algebra

$\text{Sub}(\mathcal{A}^m)$ subalgebra lattice of the m -th direct power
 $(\text{Inv}^{(m)} F)$ m -ary *invariant* relations of F

$\text{Clo}(\mathcal{A})$ clone of term operations

$= \langle F \rangle_{\text{Op}_A}$ clone generated by F in the clone $\text{Op}(A)$ of all finitary operations on A

▶ Definition clone

weakly isomorphic algebras: $\exists \mathcal{A}' : \mathcal{A} \cong \mathcal{A}' \wedge \text{Clo}(\mathcal{A}') = \text{Clo}(\mathcal{B})$

$\mathcal{A} = \langle A, F \rangle, \mathcal{B} = \langle A, G \rangle$

term equivalent algebras: $\text{Clo}(\mathcal{A}) = \text{Clo}(\mathcal{B})$

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Problem: How $\text{Sub}(\mathcal{A}^n)$ determines the algebra \mathcal{A} ?

$$\text{Sub}(\mathcal{A}^n) \cong \text{Sub}(\mathcal{B}^n) \implies ???$$

If \mathcal{A}, \mathcal{B} have the same underlying set:

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(for all $n \in \mathbb{N}$, or for a particular $n = n_0$)

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And if so, does n depend on the cardinality of \mathcal{A} ?

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The Galois connection Pol – Inv

induced by the relation

function f preserves relation ϱ :

$$f \triangleright \varrho$$

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$$\text{Inv } F := \{\varrho \in R_A \mid \forall f \in F : f \triangleright \varrho\}$$

invariant relations

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Special case: commuting operations

Operations $f : A^n \rightarrow A$ and $g : A^m \rightarrow A$ **commute** if

$$\begin{array}{c}
 \text{"}f(g(X)) = g(f(X))\text{"} \\
 f \begin{pmatrix} g & g & \dots & g \\ x_{11} & x_{12} & \dots & x_{1n} \\ x_{21} & x_{22} & \dots & x_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ x_{m1} & x_{m2} & \dots & x_{mn} \end{pmatrix} = \begin{pmatrix} g \\ \circ \\ \circ \\ \vdots \\ \circ \end{pmatrix} \\
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 f \begin{pmatrix} \circ & \circ & \dots & \circ \end{pmatrix} = \square
 \end{array}$$

Then

$$f, g \text{ commute} \iff f \triangleright g^\bullet \iff g \triangleright f^\bullet$$

where $f^\bullet := \{(a_1, \dots, a_n, b) \in A^{n+1} \mid f(a_1, \dots, a_n) = b\}$ is the graph of f

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Example ($f = g, n = m = 2$)

A binary operation $+ : A^2 \rightarrow A$ commutes with itself ($+ \triangleright +^\bullet$) iff

$$\forall a, b, c, d \in A : (a+b)+(c+d) = (a+c)+(b+d).$$

In particular, every commutative operation commutes with itself.

Theorem (Characterization of Galois closed elements)

$\mathcal{A} = \langle A, F \rangle$ *finite algebra*.

- $\text{Clo}(\mathcal{A}) = \langle F \rangle = \text{Pol Inv } F$ (*clone generated by F*)¹,
- $m\text{-Loc}\langle F \rangle = \text{Pol Inv}^{(m)} F$
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Immediate consequence: An “answer” to our problem

locally closed \implies clone unique modulo invariants (in \mathcal{K})

Proposition. Let \mathcal{K} be the class of all algebras with a locally closed clone of term operations, i.e. $\text{Clo}(\mathcal{A}) = \text{Pol Inv } F$ (in particular, \mathcal{K} contains all finite algebras).

Then the clone of every $\mathcal{A} \in \mathcal{K}$ is unique in \mathcal{K} (as well as in the class $\mathcal{K}_{\mathcal{A}}$ of all algebras \mathcal{B} with $\text{Clo}(\mathcal{A}) \subseteq \text{Clo}(\mathcal{B})$) modulo invariants.

Proof. Let $\mathcal{A} = \langle A, F \rangle$, $\mathcal{B} = \langle A, G \rangle$ and $\text{Inv } F = \text{Inv } G$. Then

$$\begin{aligned} \text{Clo}(\mathcal{A}) &\stackrel{\mathcal{A} \in \mathcal{K}}{=} \text{Loc Clo}(\mathcal{A}) = \text{Pol Inv } F = \text{Pol Inv } G \\ &= \text{Loc Clo}(\mathcal{B}) \stackrel{\mathcal{B} \in \mathcal{K}}{=} \text{Clo}(\mathcal{B}) \\ &= \text{Loc Clo}(\mathcal{B}) \supseteq \text{Clo}(\mathcal{B}) \stackrel{\mathcal{B} \in \mathcal{K}_{\mathcal{A}}}{\supseteq} \text{Clo}(\mathcal{A}). \end{aligned}$$

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$$\begin{aligned} \text{Clo}(\mathcal{A}) &\stackrel{\mathcal{A} \in \mathcal{K}}{\equiv} \text{Loc Clo}(\mathcal{A}) = \text{Pol Inv } F = \text{Pol Inv } G \\ &= \text{Loc Clo}(\mathcal{B}) \stackrel{\mathcal{B} \in \mathcal{K}}{\equiv} \text{Clo}(\mathcal{B}) \\ &= \text{Loc Clo}(\mathcal{B}) \supseteq \text{Clo}(\mathcal{B}) \stackrel{\mathcal{B} \in \mathcal{K}_{\mathcal{A}}}{\supseteq} \text{Clo}(\mathcal{A}). \end{aligned}$$

Immediate consequence: An “answer” to our problem
 locally closed \implies clone unique modulo invariants (in \mathcal{K})

$$\text{Clo}(\mathcal{A}) = \text{Pol Inv } F \stackrel{? \neq!}{\implies} \text{Clo}(\mathcal{A}) \text{ unique modulo invariants (in } \mathcal{K})$$

Proposition. Let \mathcal{K} be the class of all algebras with a locally closed clone of term operations, i.e. $\text{Clo}(\mathcal{A}) = \text{Pol Inv } F$ (in particular, \mathcal{K} contains all finite algebras).

Then the clone of every $\mathcal{A} \in \mathcal{K}$ is unique in \mathcal{K}

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Immediate consequence: An “answer” to our problem

m -locally closed \implies clone unique modulo m -ary invariants (in \mathcal{K})

$\text{Clo}(\mathcal{A}) = \text{Pol Inv}^{(m)} F \xrightarrow{? \neq!} \text{Clo}(\mathcal{A})$ unique modulo m -ary invariants (in \mathcal{K})

Proposition. Let \mathcal{K}_m be the class of all algebras with an m -locally closed clone of term operations, i.e. $\text{Clo}(\mathcal{A}) = \text{Pol Inv}^{(m)} F$ (in particular, \mathcal{K} contains all m -locally closed finite algebras).

Then the clone of every $\mathcal{A} \in \mathcal{K}_m$ is unique in \mathcal{K}

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Proof. Let $\mathcal{A} = \langle A, F \rangle$, $\mathcal{B} = \langle A, G \rangle$ and $\text{Inv } F = \text{Inv } G$. Then

$$\text{Clo}(\mathcal{A}) \stackrel{\mathcal{A} \in \mathcal{K}}{\equiv} m\text{-Loc Clo}(\mathcal{A}) = \text{Pol Inv}^{(m)} F = \text{Pol Inv}^{(m)} G$$

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Near unanimity term operation

Theorem

Let $\mathcal{A} = \langle A, F \rangle$ be a *finite algebra* such that there is a $(d+1)$ -ary *near unanimity* term operation. Then $\text{Clo } \mathcal{A} = \langle F \rangle = \text{Pol Inv}^{(d)} F$ (in particular, $\text{Clo}(\mathcal{A})$ is unique in \mathcal{K}_d modulo d -ary invariants).

near unanimity operation $f : A^n \rightarrow A$:

$$f(x, y, \dots, y) = y$$

$$f(y, x, \dots, y) = y$$

$$\vdots$$

$$f(y, y, \dots, x) = y$$

Generalization: k -edge term operation

Kearnes/Szendrei (personal communication May 2007)

Theorem

Let $\mathcal{A} = \langle A, F \rangle$ be a *finite algebra* such that

- (1) \mathcal{A} has a k -edge term for some $k \in \mathbb{N}$,
- (2) \mathcal{A} generates a residually small variety.

Then $\text{Clo}(\mathcal{A}) = \text{Pol Inv}^{(d)} F$ for some d (depending only on the cardinality $|A|$).

k-edge term

Generalization of both, near-unanimity term and Mal'cev term

A **k-edge term** is a $(k + 1)$ -ary term satisfying the identities:

$$e(x, x, y, y, y, \dots, y, y) = y$$

$$e(x, y, x, y, y, \dots, y, y) = y$$

$$e(y, y, y, x, y, \dots, y, y) = y$$

$$e(y, y, y, y, x, \dots, y, y) = y$$

...

$$e(y, y, y, y, y, \dots, y, x) = y$$

(introduced by J. Berman, P. Idziak, P. Markovic, R. McKenzie, M. Valeriote, R. Willard: *Tractability and learnability arising from algebras with few subpowers*, Proceedings of the 22nd Annual IEEE Symposium on Logic in Computer Science, 2007)

Is every group determined (up to isomorphism) by the subgroup lattices of its finite powers?

$$[\forall n \in \mathbb{N} \text{Sub}(G^n) \cong \text{Sub}(H^n)] \implies G \cong H ?$$

But for abelian groups we have (R. Baer, 1939):

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moreover, $\text{Sub}(G^3) = \text{Sub}(H^3) \implies G = H$ (diploma thesis H.A. Pham '07)

More general (Kearnes/Szendrei):

$G = \langle A, \cdot \rangle$, $H = \langle A, \odot \rangle$ finite groups with abelian Sylow subgroups:

$$\text{Sub}(G^3) = \text{Sub}(H^3) \implies \text{Clo}(G) = \text{Clo}(H) \text{ (term equivalent)}$$

cyclic Sylow subgroups:

$$\text{Sub}(G^2) \cong \text{Sub}(H^2) \implies G, H \text{ weakly isomorphic}$$

Theorem (J.W.Snow): There is no k such that for every finite group G , $\text{Clo}(G)$ is determined by the k -ary invariants of G .

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Outline

The problem(s)

The problem via Pol – Inv

Known results

Results for general algebras

Results for special algebras: Groups

Entropic algebras with weak unit

Further results

Some open problems

Final remarks

Entropic algebras

An algebra $\mathcal{A} = \langle A, F \rangle$ is called *entropic* if every two operations $f, g \in F$ commute (i.e. $\forall f, g \in F : f \triangleright g^\bullet$)

$e \in A$ *weakly neutral* for an operation $f : A^n \rightarrow A : \iff$
 $n \geq 2$ and $\forall i \in \{1, \dots, n\} \forall x \in A : f(e, \dots, e, x, e, \dots, e) = x$
 (x at i -th place)

$\mathcal{A} = \langle A, F \rangle$ *entropic with weakly neutral element*
 $: \iff \mathcal{A}$ entropic and $\exists e \in A : e$ is weakly neutral for every $f \in F$
 (consequently all operations $f \in F$ have arity at least 2)

Examples: commutative monoids $\langle A, \cdot, e \rangle$ (in particular abelian groups)

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Entropic algebras and monoids

Lemma

Let $\mathcal{A} = \langle A, F, e \rangle$ be an entropic algebra with weakly neutral element e . Take any $f \in F$ (! arity of f is at least 2) and define

$$x \cdot y := f(x, y, e, \dots, e),$$

Then $\mathcal{M} = \langle A, \cdot, e \rangle$ is a commutative monoid (called *monoid associated to \mathcal{A}*).

Theorem

Let $\mathcal{A} = \langle A, F, e \rangle$ be an entropic algebra with weakly neutral element e . Then \mathcal{A} is term-equivalent to any associated monoid $\mathcal{M} = \langle A, \cdot, e \rangle$: $\text{Clo}(\mathcal{A}) = \text{Clo}(\mathcal{M})$.

idea of the proof: For m -ary $g \in F$ show

$$g(x_1, x_2, \dots, x_m) = x_1 \cdot x_2 \cdot \dots \cdot x_m.$$

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Crucial Lemma for the proof

Lemma

Let $f : A^n \rightarrow A$ and $g : A^m \rightarrow A$ be operations on A with $m \leq n$ such that f and g commute and have a common weakly neutral element e (thus $2 \leq m \leq n$). Then

$$g(x_1, \dots, x_m) = f(x_1, \dots, x_m, e, \dots, e)$$

(in particular $f = g$ for $m = n$).

Proof.

$$\begin{array}{ccccccc}
 g & g & \dots & g & g & \dots & g \\
 f \left(\begin{array}{ccccccc}
 x_1 & e & \dots & e & e & \dots & e \\
 e & x_2 & \dots & e & e & \dots & e \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 e & e & \dots & x_m & e & \dots & e
 \end{array} \right) & = & \left(\begin{array}{c}
 x_1 \\
 x_2 \\
 \vdots \\
 x_m
 \end{array} \right)
 \end{array}$$

□

implies $f(x_1, x_2, \dots, x_m, e, \dots, e) = g(x_1, x_2, \dots, x_m)$

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 g \quad g \quad \dots \quad g \quad g \quad \dots \quad g \\
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$$f \begin{pmatrix} x_1 & e & \dots & e & e & \dots & e \\ e & x_2 & \dots & e & e & \dots & e \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ e & e & \dots & x_m & e & \dots & e \end{pmatrix} = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{pmatrix}$$



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Entropic algebras are unique modulo ternary invariants

Theorem

- (1) *The clone of each entropic algebra $\mathcal{A} = \langle A, F, e \rangle$ with weakly neutral element is determined by its ternary invariants:*

$$\text{Clo}(\mathcal{A}) = \text{Pol Inv}^{(3)} F.$$

- (2) *Let \mathcal{E} be the class of all entropic algebras with a weakly neutral element. Then the clone of each $\mathcal{A} \in \mathcal{E}$ is unique in \mathcal{E} modulo ternary invariants:*

$$\mathcal{A}, \mathcal{B} \in \mathcal{E}, \text{Sub}(\mathcal{A}^3) = \text{Sub}(\mathcal{B}^3) \implies \text{Clo}(\mathcal{A}) = \text{Clo}(\mathcal{B})$$

- (3) *Let \mathcal{E}_n be the class of all entropic algebras $\mathcal{A} = \langle A, f, e \rangle$ with a weakly neutral element and one n -ary fundamental operation. Then each $\mathcal{A} \in \mathcal{E}_n$ is unique in \mathcal{E}_n modulo ternary invariants:*

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- (3) *Let \mathcal{E}_n be the class of all entropic algebras $\mathcal{A} = \langle A, f, e \rangle$ with a weakly neutral element and one n -ary fundamental operation. Then each $\mathcal{A} \in \mathcal{E}_n$ is unique in \mathcal{E}_n modulo ternary invariants:*

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Outline

The problem(s)

The problem via Pol – Inv

Known results

- Results for general algebras

- Results for special algebras: Groups

Entropic algebras with weak unit

Further results

Some open problems

Final remarks

Algebras $\mathcal{A} = \langle A, f^{\mathcal{A}} \rangle$ (Generalization of semilattices)

Proposition

Let \mathcal{K} be a class of algebras $\mathcal{A} = \langle A, f \rangle$ with one n -ary operation satisfying

- (1) \mathcal{A} is entropic, i.e. f commutes with itself ($f \triangleright f^{\circ}$),
- (2) f is idempotent, i.e., $f(x, \dots, x) = x$
- (3) f is cyclic commutative, i.e.,
 $f(x_1, x_2, \dots, x_n) = f(x_2, \dots, x_n, x_1)$

Then the algebras $\mathcal{A} \in \mathcal{K}$ are unique in \mathcal{K} modulo $(n+1)$ -ary invariants, i.e.

$$\forall \mathcal{A}, \mathcal{B} \in \mathcal{K} : \text{Sub}(\mathcal{A}^{n+1}) = \text{Sub}(\mathcal{B}^{n+1}) \implies \mathcal{A} = \mathcal{B}.$$

Examples: $\mathcal{A} = \langle A, \wedge \rangle$ semilattice (\wedge associative, commutative, idempotent)

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Crucial Lemma for the proof

Lemma

Let $f, g : A^n \rightarrow A$ satisfy:

- (1) f and g commute, ((1) implies $g^\bullet \in \text{Inv}^{(n+1)}\{f\}$)
- (2) f and g are idempotent,
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Then $f = g$.

Proof.

$$\begin{aligned}
 f(x_1, \dots, x_n) &\stackrel{(2)}{=} g(f(x_1, \dots, x_n), \dots, f(x_1, \dots, x_n)) \\
 &\stackrel{(3)}{=} g(f(x_1, x_2, \dots, x_n), f(x_2, x_3, \dots, x_1), \dots, f(x_n, x_1, \dots, x_{n-1})) \\
 &\stackrel{(1)}{=} f(g(x_1, x_2, \dots, x_n), g(x_2, x_3, \dots, x_1), \dots, g(x_n, x_1, \dots, x_{n-1})) \\
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Entropic algebras with weak unit

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Some open problems

Final remarks

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- Which groups are determined by their ternary invariants?
($\text{Clo}(G) = \text{Pol Inv}^{(3)} G$?)

- Characterize clones with the same local closure.

Motivation: Proposition. *Let \mathcal{K} be a class of algebras such that*

$$\forall \mathcal{A}, \mathcal{B} \in \mathcal{K} : \text{Loc Clo}(\mathcal{A}) = \text{Loc Clo}(\mathcal{B}) \implies \text{Clo}(\mathcal{A}) = \text{Clo}(\mathcal{B}).$$

Then the clone of every algebra in \mathcal{K} is unique modulo invariants.

- Find further algebraic properties (P) for operations $f : A^n \rightarrow A$ such that the algebras $\langle A, f \rangle$ (or their clone) are unique modulo (n -ary) invariants in the class
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The problem via Pol – Inv

Known results

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- Results for special algebras: Groups

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Some open problems

Final remarks



Definition of clone

A set F of finitary functions $f : A^n \rightarrow A$ (on a base set A) is called *clone*, if

- F contains all *projections* ($e_i^n(x_1, \dots, x_n) = x_i$)
- F is *closed under composition* i.e. if $f, g_1, \dots, g_n \in F$ (f n -ary, g_i m -ary), then

$$f[g_1, \dots, g_n] \in F$$

$$f[g_1, \dots, g_n](x_1, \dots, x_m) := f(g_1(x_1, \dots, x_m), \dots, g_n(x_1, \dots, x_m))$$

For arbitrary F , $\langle F \rangle$ (*clone generated by F*) is the least clone containing F .

e.g., for a group $G = \langle A, \cdot, {}^{-1} \rangle$, the clone $\text{Clo}(G)$ of term functions (= clone generated by the operation $x \cdot y$ of multiplication and taking inverse x^{-1}) consists of all functions definable by a semigroup word:

$$f(x_1, \dots, x_n) = x_{i_1}^{s_1} \cdot \dots \cdot x_{i_t}^{s_t}$$

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