

Many independent equality constraints

Michael Pinsker

jointly with Manuel Bodirsky (Paris) and Hubie Chen (Barcelona)

LMNO
Université de Caen
Caen, France

SSAOS 2008 / Třešť

- 1 Formulas and primitive positive definitions
- 2 PP-closed relational structures
- 3 Local clones



Equality constraint languages

Let ϕ be a first-order formula over the empty language,
i.e., ϕ contains no function or relation symbols except $=$.

Equality constraint languages

Let ϕ be a first-order formula over the empty language, i.e., ϕ contains no function or relation symbols except $=$.

E.g. $\phi(x, y, z) \leftrightarrow x = y \vee (y \neq z \wedge z \neq x)$.

Equality constraint languages

Let ϕ be a first-order formula over the empty language, i.e., ϕ contains no function or relation symbols except $=$.

E.g. $\phi(x, y, z) \leftrightarrow x = y \vee (y \neq z \wedge z \neq x)$.

Definition

ϕ is called an *equality constraint*.

Equality constraint languages

Let ϕ be a first-order formula over the empty language, i.e., ϕ contains no function or relation symbols except $=$.

E.g. $\phi(x, y, z) \leftrightarrow x = y \vee (y \neq z \wedge z \neq x)$.

Definition

ϕ is called an *equality constraint*.

Definition

Let Σ be a set of equality constraints. Σ is called an *equality constraint language*.

Definition

ϕ is *pp-definable* (*primitively positively definable*) from $\Sigma \leftrightarrow$
 ϕ is logically equivalent to a formula of the form
 $\exists x_{j_1} \dots \exists x_{j_n} \phi_1 \wedge \dots \wedge \phi_m$, with $\phi_i \in \Sigma \cup \{x = y\}$.

Definition

ϕ is *pp-definable* (*primitively positively definable*) from $\Sigma \leftrightarrow$
 ϕ is logically equivalent to a formula of the form
 $\exists x_{j_1} \dots \exists x_{j_n} \phi_1 \wedge \dots \wedge \phi_m$, with $\phi_i \in \Sigma \cup \{x = y\}$.

Definition

Σ_0, Σ_1 are *pp-equivalent* \leftrightarrow
all formulas of Σ_0 are pp-definable from Σ_1 , and vice-versa.

Primitive positive definitions and the problem

Definition

ϕ is *pp-definable* (*primitively positively definable*) from $\Sigma \leftrightarrow$
 ϕ is logically equivalent to a formula of the form
 $\exists x_{j_1} \dots \exists x_{j_n} \phi_1 \wedge \dots \wedge \phi_m$, with $\phi_i \in \Sigma \cup \{x = y\}$.

Definition

Σ_0, Σ_1 are *pp-equivalent* \leftrightarrow
all formulas of Σ_0 are pp-definable from Σ_1 , and vice-versa.

Problem (Formulas)

Are there uncountably many pp-inequivalent equality constraint languages?

The problem, strong version

Definition

Σ *independent* \leftrightarrow

for all $\phi \in \Sigma$, ϕ is not pp-definable from $\Sigma \setminus \{\phi\}$.

The problem, strong version

Definition

Σ *independent* \leftrightarrow

for all $\phi \in \Sigma$, ϕ is not pp-definable from $\Sigma \setminus \{\phi\}$.

Problem, strong version

Is there an infinite independent equality constraint language?

Reducts of relational structures

$\Gamma = (X, \mathcal{R}) \dots$ relational structure (X infinite).

Reducts of relational structures

$\Gamma = (X, \mathcal{R}) \dots$ relational structure (X infinite).

Problem

Determine the reducts of Γ , i.e.,
all relational structures which are first-order definable from Γ .

Reducts of relational structures

$\Gamma = (X, \mathcal{R})$... relational structure (X infinite).

Problem

Determine the reducts of Γ , i.e.,
all relational structures which are first-order definable from Γ .

Usually done up to first-order interdefinability, i.e.,
structures Γ_0, Γ_1 equivalent \leftrightarrow
 Γ_j has a first-order definition in Γ_{1-j} .

Reducts of relational structures

$\Gamma = (X, \mathcal{R})$... relational structure (X infinite).

Problem

Determine the reducts of Γ , i.e.,
all relational structures which are first-order definable from Γ .

Usually done up to first-order interdefinability, i.e.,
structures Γ_0, Γ_1 equivalent \leftrightarrow
 Γ_i has a first-order definition in Γ_{1-i} .

Examples

- P. J. Cameron: There are 5 reducts of $(\mathbb{Q}, <)$ up to f.o.-interdefinability.

Reducts of relational structures

$\Gamma = (X, \mathcal{R}) \dots$ relational structure (X infinite).

Problem

Determine the reducts of Γ , i.e.,
all relational structures which are first-order definable from Γ .

Usually done up to first-order interdefinability, i.e.,
structures Γ_0, Γ_1 equivalent \leftrightarrow
 Γ_j has a first-order definition in Γ_{1-j} .

Examples

- P. J. Cameron: There are 5 reducts of $(\mathbb{Q}, <)$ up to f.o.-interdefinability.
- M. Junker and M. Ziegler: There are 116 reducts of $(\mathbb{Q}, <, 0)$ up to f.o.-interdefinability.

Problem

Given a structure Γ , determine its reducts *up to primitive positive interdefinability*.

Reducts up to pp-interdefinability

Problem

Given a structure Γ , determine its reducts *up to primitive positive interdefinability*.

First step

Try with the simplest structure, $\Gamma := (X, =)$.

Reducts up to pp-interdefinability

Problem

Given a structure Γ , determine its reducts *up to primitive positive interdefinability*.

First step

Try with the simplest structure, $\Gamma := (X, =)$.

Observation

Equality constraint languages correspond to reducts of Γ .

Reducts up to pp-interdefinability

Problem

Given a structure Γ , determine its reducts *up to primitive positive interdefinability*.

First step

Try with the simplest structure, $\Gamma := (X, =)$.

Observation

Equality constraint languages correspond to reducts of Γ .

Problem (Reducts)

Is the number of reducts of Γ uncountable (up to pp-interdefinability)?

The Constraint Satisfaction Problem

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

Fixed structure $\Gamma = (X, \mathcal{R})$.

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

Fixed structure $\Gamma = (X, \mathcal{R})$.

Input: A finite structure Δ .

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

Fixed structure $\Gamma = (X, \mathcal{R})$.

Input: A finite structure Δ .

Question: Is there a homomorphism from Δ to Γ ?

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

Fixed structure $\Gamma = (X, \mathcal{R})$.

Input: A finite structure Δ .

Question: Is there a homomorphism from Δ to Γ ?

Complexity unchanged if pp-definable relations are added.

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

Fixed structure $\Gamma = (X, \mathcal{R})$.

Input: A finite structure Δ .

Question: Is there a homomorphism from Δ to Γ ?

Complexity unchanged if pp-definable relations are added.

Hence the name *equality constraint languages*.

The Constraint Satisfaction Problem

Reducts of $(X, =)$ as templates of CSPs.

Fixed structure $\Gamma = (X, \mathcal{R})$.

Input: A finite structure Δ .

Question: Is there a homomorphism from Δ to Γ ?

Complexity unchanged if pp-definable relations are added.

Hence the name *equality constraint languages*.

(Bodirsky – Chen – Kara)

CSP fanatics in Caen



Definitions

\mathcal{O} . . . set of all finitary operations on X .

Definitions

\mathcal{O} ... set of all finitary operations on X .

Let $f \in \mathcal{O}$ be n -ary operation and $R \subseteq X^m$ relation.

f preserves $R \leftrightarrow f(r_1, \dots, r_n) \in R$ for all $r_1, \dots, r_n \in R$.

Definitions

\mathcal{O} ... set of all finitary operations on X .

Let $f \in \mathcal{O}$ be n -ary operation and $R \subseteq X^m$ relation.

f preserves $R \leftrightarrow f(r_1, \dots, r_n) \in R$ for all $r_1, \dots, r_n \in R$.

$\text{Pol}(\Gamma) := \{f \in \mathcal{O} : f \text{ preserves all relations of } \Gamma\}$.

$\text{Inv}(\mathcal{F}) := \{R : R \text{ is preserved by all } f \in \mathcal{F}\}$ (for $\mathcal{F} \subseteq \mathcal{O}$).

Definitions

\mathcal{O} ... set of all finitary operations on X .

Let $f \in \mathcal{O}$ be n -ary operation and $R \subseteq X^m$ relation.

f preserves $R \leftrightarrow f(r_1, \dots, r_n) \in R$ for all $r_1, \dots, r_n \in R$.

$\text{Pol}(\Gamma) := \{f \in \mathcal{O} : f \text{ preserves all relations of } \Gamma\}$.

$\text{Inv}(\mathcal{F}) := \{R : R \text{ is preserved by all } f \in \mathcal{F}\}$ (for $\mathcal{F} \subseteq \mathcal{O}$).

Fact

- Inv Pol = closure operator on the relational structures
- Pol Inv = closure operator on the sets of operations

Definitions

\mathcal{O} ... set of all finitary operations on X .

Let $f \in \mathcal{O}$ be n -ary operation and $R \subseteq X^m$ relation.

f preserves $R \leftrightarrow f(r_1, \dots, r_n) \in R$ for all $r_1, \dots, r_n \in R$.

$\text{Pol}(\Gamma) := \{f \in \mathcal{O} : f \text{ preserves all relations of } \Gamma\}$.

$\text{Inv}(\mathcal{F}) := \{R : R \text{ is preserved by all } f \in \mathcal{F}\}$ (for $\mathcal{F} \subseteq \mathcal{O}$).

Fact

- $\text{Inv Pol} =$ closure operator on the relational structures
- $\text{Pol Inv} =$ closure operator on the sets of operations

Theorem (Bodirsky-Nešetřil)

Let Γ be ω -categorical. Then $\text{Inv Pol}(\Gamma) = \text{pp}(\Gamma)$.

Definition

$\mathcal{C} \subseteq \mathcal{O}$ is a *clone* \leftrightarrow

- \mathcal{C} is closed under composition
i.e. $f(g_1, \dots, g_n) \in \mathcal{C}$ for all $f, g_1, \dots, g_n \in \mathcal{C}$, and
- \mathcal{C} contains the projections
i.e. for all $1 \leq k \leq n$ the operation $\pi_k^n(x_1, \dots, x_n) = x_k$.

Definition

$\mathcal{C} \subseteq \mathcal{O}$ is a *clone* \leftrightarrow

- \mathcal{C} is closed under composition
i.e. $f(g_1, \dots, g_n) \in \mathcal{C}$ for all $f, g_1, \dots, g_n \in \mathcal{C}$, and
- \mathcal{C} contains the projections
i.e. for all $1 \leq k \leq n$ the operation $\pi_k^n(x_1, \dots, x_n) = x_k$.

Definition

A clone \mathcal{C} is *locally closed* or *local* \leftrightarrow

\mathcal{C} is closed in the product topology on X^X (where X is discrete)

Definition

$\mathcal{C} \subseteq \mathcal{O}$ is a *clone* \leftrightarrow

- \mathcal{C} is closed under composition
i.e. $f(g_1, \dots, g_n) \in \mathcal{C}$ for all $f, g_1, \dots, g_n \in \mathcal{C}$, and
- \mathcal{C} contains the projections
i.e. for all $1 \leq k \leq n$ the operation $\pi_k^n(x_1, \dots, x_n) = x_k$.

Definition

A clone \mathcal{C} is *locally closed* or *local* \leftrightarrow

\mathcal{C} is closed in the product topology on X^X (where X is discrete)

Fact

The local clones are exactly the Pol Inv-closed subsets of \mathcal{O} .

Observations

Via Pol – Inv, the reducts of $(X, =)$ correspond to local clones.

Observations

Via Pol – Inv, the reducts of $(X, =)$ correspond to local clones. Those clones contain the group S_X of all permutations of X .

Observations

Via Pol – Inv, the reducts of $(X, =)$ correspond to local clones.

Those clones contain the group S_X of all permutations of X .

Conversely, if a clone contains S_X , then it induces a reduct of $(X, =)$.

Observations

Via Pol – Inv, the reducts of $(X, =)$ correspond to local clones.

Those clones contain the group S_X of all permutations of X .

Conversely, if a clone contains S_X , then it induces a reduct of $(X, =)$.

More observations

Inv (or Pol) is an antiisomorphism between

- the lattice of local clones above S_X
- and the pp-closed reducts of $(X, =)$

Local clones and pp-closed reducts

Observations

Via Pol – Inv, the reducts of $(X, =)$ correspond to local clones. Those clones contain the group S_X of all permutations of X . Conversely, if a clone contains S_X , then it induces a reduct of $(X, =)$.

More observations

Inv (or Pol) is an antiisomorphism between

- the lattice of local clones above S_X
- and the pp-closed reducts of $(X, =)$

Problem (Clones)

Is the number of local clones containing S_X uncountable?

All our problems

Problem (Formulas)

Is the number of pp-inequivalent equality constraint languages uncountable?

All our problems

Problem (Formulas)

Is the number of pp-inequivalent equality constraint languages uncountable?

Problem (Structures)

Is the number of pp-closed reducts of $(X, =)$ uncountable?

All our problems

Problem (Formulas)

Is the number of pp-inequivalent equality constraint languages uncountable?

Problem (Structures)

Is the number of pp-closed reducts of $(X, =)$ uncountable?

Problem (Clones)

Is the number of local clones containing S_X uncountable?

All our problems

Problem (Formulas)

Is the number of pp-inequivalent equality constraint languages uncountable?

Problem (Structures)

Is the number of pp-closed reducts of $(X, =)$ uncountable?

Problem (Clones)

Is the number of local clones containing S_X uncountable?

Problem (Formulas strong)

Is there an infinite independent equality constraint language?

Problem (Formulas strong)

Is there an infinite independent equality constraint language?

Answer to: Formulas (strong)

Problem (Formulas strong)

Is there an infinite independent equality constraint language?

Answer (Formulas strong)

Yes.

The formulas

For all $n \geq 3$, write

$$\delta_n := x_1 \neq y_1 \vee \dots \vee x_n \neq y_n.$$

For all $A \subseteq \{1, \dots, n\}$ with $1 < |A| < n$, writing $A = \{j_1, \dots, j_r\}$ with $j_1 < j_2 < \dots < j_r$, we set

$$\kappa_A := y_{j_1} \neq x_{j_2} \vee y_{j_2} \neq x_{j_3} \vee \dots \vee y_{j_r} \neq x_{j_1}.$$

Set

$$\phi_n := \delta_n \wedge \bigwedge_{A \subseteq \{1, \dots, n\}, 1 < |A| < n} \kappa_A.$$

The formulas

For all $n \geq 3$, write

$$\delta_n := x_1 \neq y_1 \vee \dots \vee x_n \neq y_n.$$

For all $A \subseteq \{1, \dots, n\}$ with $1 < |A| < n$, writing $A = \{j_1, \dots, j_r\}$ with $j_1 < j_2 < \dots < j_r$, we set

$$\kappa_A := y_{j_1} \neq x_{j_2} \vee y_{j_2} \neq x_{j_3} \vee \dots \vee y_{j_r} \neq x_{j_1}.$$

Set

$$\phi_n := \delta_n \wedge \bigwedge_{A \subseteq \{1, \dots, n\}, 1 < |A| < n} \kappa_A.$$

Theorem (M. Bodirsky, H. Chen, MP 2008)

$\{\phi_n : n \geq 3\}$ is independent.

M. Bodirsky, H. Chen, M. Pinsker,

The reducts of equality up to primitive positive interdefinability,

Preprint September 15, 2008