

# Residuated lattices

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Part III: Representation, Logic, Decidability

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# Boolean algebras

A **Boolean algebra** is a structure  $\mathbf{A} = (A, \wedge, \vee, \rightarrow, 0, 1)$  such that (we define  $\neg a = a \rightarrow 0$ ) [ $a \rightarrow b = \neg a \rightarrow b$ ]

- $(A, \wedge, \vee, 0, 1)$  is a bounded lattice,
- for all  $a, b, c \in A$ ,

$$a \wedge b \leq c \Leftrightarrow b \leq a \rightarrow c \text{ (\wedge-residuation)}$$

- for all  $a \in A$ ,  $\neg\neg a = a$  (alt.  $a \vee \neg a = 1$ ).

**Exercise.** Distributivity (of  $\wedge$  over  $\vee$ ) and complementation follow from the above conditions. Also,  $\wedge$ -residuation can be written equationally.

Boolean algebras provide algebraic semantics for classical propositional logic.

**Heyting algebras** are defined without the third condition and are algebraic semantics for intuitionistic propositional logic.

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# Algebras of relations

Let  $X$  be a set and  $Rel(X) = \mathcal{P}(X \times X)$  be the set of all binary relations on  $X$ .

For relations  $R$ , and  $S$ , we denote by

- $R^-$  the complement and by  $R^U$  the converse of  $R$
- $\Delta$  is the equality/diagonal relation on  $X$
- $R; S$  the relational composition of  $R$  and  $S$
- $R \setminus S = (R; S^-)^-$  and  $S/R = (S^-; R)^-$
- $R \rightarrow S = (R \cap S^-)^- = R^- \cup S$

We have

- $(Rel(X), \cap, \cup, \rightarrow, \emptyset, X^2)$  is a Boolean algebra
- $(Rel(X), ;, \Delta)$  is a monoid
- for all  $R, S, T \in Rel(X)$ ,

$$R; S \subseteq T \Leftrightarrow S \subseteq R \setminus T \Leftrightarrow R \subseteq T/S.$$

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# Relation algebras

A **Relation algebra** is a structure

$\mathbf{A} = (A, \wedge, \vee, ;, \backslash, /, 0, 1, (\_)^{-})$  such that  $(0 = 1^{-})$

- $(A, \wedge, \vee, \perp, \top, (\_)^{-})$  is a Boolean algebra (we define  $\perp = 1 \wedge 1^{-}$  and  $\top = 1 \vee 1^{-}$ ),
- $(A, ;, 1)$  is a monoid
- for all  $a, b, c \in A$ ,

$$a ; b \leq c \Leftrightarrow b \leq a \backslash c \Leftrightarrow a \leq c / b \text{ (residuation)}$$

- for all  $a \in A$ ,  $\neg\neg a = a$  (we define  $\neg a = a \backslash 0 = 0 / a$ )
- $\neg(a^{-}) = (\neg a)^{-}$  and  $\neg(\neg x ; \neg y) = (x^{-} ; y^{-})^{-}$ .

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A lattice-ordered group is a lattice with a compatible group structure. Alternatively, a **lattice-ordered group** is an algebra  $\mathbf{L} = (L, \wedge, \vee, \cdot, \backslash, /, 1)$  such that

- $(L, \wedge, \vee)$  is a lattice,
- $(L, \cdot, 1)$  is a monoid
- for all  $a, b, c \in L$ ,

$$ab \leq c \Leftrightarrow b \leq a \backslash c \Leftrightarrow a \leq c / b.$$

- for all  $a \in L$ ,  $a \cdot a^{-1} = 1$  (we define  $x^{-1} = x \backslash 1 = 1 / x$ ).

**Example.** The set of real numbers under the usual order, addition and subtraction.

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# Powerset of a monoid

Let  $\mathbf{M} = (M, \cdot, e)$  be a monoid and  $X, Y \subseteq M$ .

We define  $X \cdot Y = \{x \cdot y : x \in X, y \in Y\}$ ,

$X \setminus Y = \{z \in M : X \cdot \{z\} \subseteq Y\}$ ,

$Y / X = \{z \in M : \{z\} \cdot X \subseteq Y\}$ .

For the powerset  $\mathcal{P}(M)$ , we have

- $(\mathcal{P}(M), \cap, \cup)$  is a lattice
- $(\mathcal{P}(M), \cdot, \{e\})$  is a monoid
- for all  $X, Y, Z \subseteq M$ ,

$$X \cdot Y \subseteq Z \Leftrightarrow Y \subseteq X \setminus Z \Leftrightarrow X \subseteq Z / Y.$$

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# Ideals of a ring

Let  $\mathbf{R}$  be a ring with unit and let  $\mathcal{I}(\mathbf{R})$  be the set of all (two-sided) ideals of  $\mathbf{R}$ .

For  $I, J \in \mathcal{I}(\mathbf{R})$ , we write  $IJ = \{\sum_{fin} ij : i \in I, j \in J\}$

$$I \setminus J = \{k : Ik \subseteq J\},$$

$$J/I = \{k : kI \subseteq J\}.$$

For the powerset  $\mathcal{I}(\mathbf{R})$ , we have

- $(\mathcal{I}(\mathbf{R}), \cap, \cup)$  is a lattice
- $(\mathcal{I}(\mathbf{R}), \cdot, R)$  is a monoid
- for all ideals  $I, J, K$  of  $\mathbf{R}$ ,

$$I \cdot J \subseteq K \Leftrightarrow J \subseteq I \setminus K \Leftrightarrow I \subseteq K/J.$$

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# Residuated lattices

A *residuated lattice*, or *residuated lattice-ordered monoid*, is an algebra  $\mathbf{L} = (L, \wedge, \vee, \cdot, \backslash, /, 1)$  such that

- $(L, \wedge, \vee)$  is a lattice,
- $(L, \cdot, 1)$  is a monoid and
- for all  $a, b, c \in L$ ,

$$ab \leq c \Leftrightarrow b \leq a \backslash c \Leftrightarrow a \leq c / b.$$

(We think of  $x \backslash y$  and  $y / x$  as  $x \rightarrow y$ , when they are equal.)

A *pointed residuated lattice* an extension of a residuated lattice with a new constant  $0$ . ( $\sim x = x \backslash 0$  and  $-x = 0 / x$ .)

A (pointed) residuated lattice is called

- **commutative**, if  $(L, \cdot, 1)$  is commutative ( $xy = yx$ ).
- **distributive**, if  $(L, \wedge, \vee)$  is distributive
- **integral**, if it satisfies  $x \leq 1$
- **contractive**, if it satisfies  $x \leq x^2$
- **involutive**, if it satisfies  $\sim -x = x = -\sim x$ .

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1.  $x(y \vee z) = xy \vee xz$  and  $(y \vee z)x = yx \vee zx$
2.  $x \setminus (y \wedge z) = (x \setminus y) \wedge (x \setminus z)$  and  $(y \wedge z) / x = (y / x) \wedge (z / x)$
3.  $x / (y \vee z) = (x / y) \wedge (x / z)$  and  $(y \vee z) \setminus x = (y \setminus x) \wedge (z \setminus x)$
4.  $(x / y)y \leq x$  and  $y(y \setminus x) \leq x$
5.  $x(y / z) \leq (xy) / z$  and  $(z \setminus y)x \leq z \setminus (yx)$
6.  $(x / y) / z = x / (zy)$  and  $z \setminus (y \setminus x) = (yz) \setminus x$
7.  $x \setminus (y / z) = (x \setminus y) / z$ ;
8.  $x / 1 = x = 1 \setminus x$
9.  $1 \leq x / x$  and  $1 \leq x \setminus x$
10.  $x \leq y / (x \setminus y)$  and  $x \leq (y / x) \setminus y$
11.  $y / ((y / x) \setminus y) = y / x$  and  $(y / (x \setminus y)) \setminus y = x \setminus y$
12.  $x / (x \setminus x) = x$  and  $(x / x) \setminus x = x$ ;
13.  $(z / y)(y / x) \leq z / x$  and  $(x \setminus y)(y \setminus z) \leq x \setminus z$

Multiplication is order preserving in both coordinates. Each division operation is order preserving in the **numerator** and order reversing in the **denominator**.

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# Properties (proofs)

$$\begin{aligned}x(y \vee z) \leq w &\Leftrightarrow y \vee z \leq x \setminus w \\ &\Leftrightarrow y, z \leq x \setminus w \\ &\Leftrightarrow xy, xz \leq w \\ &\Leftrightarrow xy \vee xz \leq w\end{aligned}$$

$$x/y \leq x/y \Rightarrow (x/y)y \leq x$$

$$x(y/z)z \leq xy \Rightarrow x(y/z) \leq (xy)/z$$

$$[(x/y)/z](zy) \leq x \Rightarrow (x/y)/z \leq x/(zy)$$

$$[x/(zy)]zy \leq x \Rightarrow x/(zy) \leq (x/y)/z$$

$$\begin{aligned}w \leq x \setminus (y/z) &\Leftrightarrow xw \leq y/z \\ &\Leftrightarrow xwz \leq y \\ &\Leftrightarrow wz \leq x \setminus y \\ &\Leftrightarrow w \leq (x \setminus y)/z\end{aligned}$$

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# Lattice/monoid properties

$$(z/y)(y/x)x \leq (z/y)y \leq z \Rightarrow (z/y)(y/x) \leq z/x$$

RL's satisfy **no special purely** lattice-theoretic or monoid-theoretic property.

Every lattice can be embedded in a (cancellative) residuated lattice.

Every monoid can be embedded in a (distributive) residuated lattice.

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# Linguistics (verbs)

We want to assign (a limited number of) **linguistic types** to English words, as well as to phrases, in such a way that we will be able to tell if a given phrase is a (syntactically correct) sentence.

We will use  $n$  for ‘noun phrase’ and  $s$  for ‘sentence’.

For phrases we use the rule: if  $A : a$  and  $B : b$ , then  $AB : ab$ .

We write  $C : a \setminus b$  if  $A : a$  implies  $AC : b$ , for all  $A$ .

Likewise,  $C : b/a$  if  $A : a$  implies  $CA : b$ , for all  $A$ .

We assign type  $n$  to ‘John.’ Clearly, ‘plays’ has type  $n \setminus s$ , as all *intransitive* verbs.

$$\begin{array}{ccc} \text{John} & \text{plays} & \\ n & n \setminus s & n(n \setminus s) \leq s \end{array}$$

Some words may have more than one type. We write  $a \leq b$  if every word with type  $a$  has also type  $b$ .

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# Linguistics (adverbs)

(John plays) here  $[n(n \setminus s)](s \setminus s) \leq s(s \setminus s) \leq s$   
 $n \quad n \setminus s \quad s \setminus s$

John (plays here)  $s \setminus s \leq (n \setminus s) \setminus (n \setminus s)$   
 $n \quad n \setminus s \quad (n \setminus s) \setminus (n \setminus s)$

Note that 'plays' is also a *transitive* verb, so it has type  $(n \setminus s)/n$ .

John (plays football)  $[n((n \setminus s)/n)]n \leq s$   
 $n \quad (n \setminus s)/n \quad n$

(John plays) football  $(n \setminus s)/n \leq n \setminus (s/n)$   
 $n \quad n \setminus (s/n) \quad n \quad n[(n \setminus (s/n))n] \leq s$

Also, for 'John *definitely* plays football', note that we need to have  $s \setminus s \leq (n \setminus s)/(n \setminus s)$ .

**Q: Can we decide (in)equations in residuated lattices?**

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# Congruences G, B

**Definition.** A *congruence* on an algebra  $\mathbf{A}$  is an equivalence relation on  $A$  that is compatible with the operations of  $\mathbf{A}$ . (Alt.the kernel of a homomorphism out of  $\mathbf{A}$ .)

Congruences in **groups** correspond to **normal subgroups**.

Given a congruence  $\theta$  on a group  $G$ , the congruence class  $[1]_\theta$  of 1 is a normal subgroup.

Given a normal subgroup  $N$  of a group  $G$ , the relation  $\theta_N$  is a congruence, where  $(a, b) \in \theta_N$  iff  $a \setminus b \in N$  iff  $\{a \setminus b, b \setminus a\} \subseteq N$ .

Congruences in Boolean algebras correspond to **filters**.

Given a congruence  $\theta$  on a Boolean algebra  $\mathbf{A}$ , the congruence class  $[1]_\theta$  of 1 is a filter of  $\mathbf{A}$ .

Given a filter  $F$  of a Boolean algebra  $\mathbf{A}$ ,  $\theta_F$  is a congruence, where  $(a, b) \in \theta_F$  iff  $a \leftrightarrow b \in F$  iff  $\{a \rightarrow b, b \rightarrow a\} \subseteq F$ .

Note that a filter is a subset of  $\mathbf{A}$  closed under  $\{\wedge, \vee, \rightarrow, 1\}$  that is *convex* ( $x \leq y \leq z$  and  $x, z \in F$  implies  $y \in F$ ).

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# Congruences R, M

Congruences on **rings** correspond to **ideals**.

Congruences on  **$\ell$ -groups** correspond to **convex  $\ell$ -subgroups**.

Congruences on **monoids** do not correspond to any particular kind of subset.

Do congruences on **residuated lattices** correspond to certain subsets?

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# Congruences and sets

Let  $\mathbf{A}$  be a residuated lattice and  $a, x \in A$ . We define the **conjugates**  $\lambda_a(x) = [a \setminus (xa)] \wedge 1$  and  $\rho_a(x) = ax / a \wedge 1$ .

An **iterated conjugate** is a composition  $\gamma_{a_1}(\gamma_{a_2}(\dots \gamma_{a_n}(x)))$ , where  $n \in \omega$ ,  $a_1, a_2, \dots, a_n \in A$  and  $\gamma_{a_i} \in \{\lambda_{a_i}, \rho_{a_i}\}$ , for all  $i$ .

$X \subseteq A$  is called **normal**, if it is closed under conjugates.

We will be considering correspondences between:

- **Congruences on  $\mathbf{A}$**
- **Convex, normal subalgebras (CNSs) of  $\mathbf{A}$**
- **Convex, normal (in  $\mathbf{A}$ ) submonoids (CNMs) of  $\mathbf{A}^- = \downarrow 1$**
- **Deductive filters of  $\mathbf{A}$ :  $F \subseteq A$** 
  - ◆  $\uparrow 1 \subseteq F$
  - ◆  $a, a \setminus b \in F$  implies  $b \in F$  (eqv.  $\uparrow F = F$ )
  - ◆  $a \in F$  implies  $a \wedge 1 \in F$  (eqv.  $F$  is  $\wedge$ -closed)
  - ◆  $a \in F$  implies  $b \setminus ab, ba / b \in F$

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# Correspondence

If  $S$  is a CNS of  $\mathbf{A}$ ,  $M$  a SNM of  $\mathbf{A}^-$ ,  $\theta$  a congruence on  $\mathbf{A}$  and  $F$  a DF of  $\mathbf{A}$ , then

1.  $M_s(S) = S^-$ ,  $M_c(\theta) = [1]_{\theta}^-$  and  $M_f(F) = F^-$  are SNMs of  $\mathbf{A}^-$ ,
2.  $S_m(M) = \Xi(M)$ ,  $S_c(\theta) = [1]_{\theta}$  and  $S_f(F) = \Xi(F^-)$  are CNSs of  $\mathbf{A}$ ,
3.  $F_s(S) = \uparrow S$ ,  $F_m(M) = \uparrow M$ , and  $F_c(\theta) = \uparrow[1]_{\theta}$  are DFs of  $\mathbf{A}$ .
4.  $\Theta_s(S) = \{(a, b) \mid a \leftrightarrow b \in S\}$ ,  $\Theta_m(M) = \{(a, b) \mid a \leftrightarrow b \in M\}$  and  $\Theta_f(F) = \{(a, b) \mid a \leftrightarrow b \in F\} = \{(a, b) \mid a \setminus b, b \setminus a \in F\}$  are congruences of  $\mathbf{A}$ .

$$a \leftrightarrow b = a \setminus b \wedge b \setminus a \wedge 1$$

$$\Xi(X) = \{a \in A : x \leq a \leq x \setminus 1, \text{ for some } x \in X\}.$$

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$\Xi(M) = \{a \in A \mid x \leq a \leq x \setminus 1, \text{ for some } x \in M\}$  is a CNS.

**Claim:**  $a \in \Xi(M)$  iff  $\exists y, z \in M$  such that  $y \leq a \leq z \setminus 1$ .

Indeed,  $yz \leq y \leq a \leq z \setminus 1 \leq yz \setminus 1$  and  $yz \in M$ .

**Convexity:** If  $a, b \in \Xi(M)$ , then  $\exists x, y \in M$  such that  $x \leq a \leq x \setminus 1$  and  $y \leq b \leq y \setminus 1$ .

If  $a \leq c \leq b$ , then  $x \leq a \leq c \leq b \leq y \setminus 1$ , so  $c \in \Xi(M)$ .

**Subalg.:**  $xy \leq x \wedge y \leq a \wedge b \leq x \setminus 1 \wedge y \setminus 1 = (x \vee y) \setminus 1 \leq x \setminus 1$

$$x \leq x \vee y \leq a \vee b \leq x \setminus 1 \vee y \setminus 1 \leq (x \wedge y) \setminus 1 \leq (xy) \setminus 1$$

$$xy \leq ab \leq (x \setminus 1)(y \setminus 1) \leq x \setminus (y \setminus 1) = (yx) \setminus 1$$

$$\lambda_a(yx) \leq a \setminus yxa \leq a \setminus [y/(x \setminus 1)]a \leq a \setminus [b/a]a \leq a \setminus b \leq x \setminus (y \setminus 1) = yx \setminus 1$$

$$xy \leq x/(y \setminus 1) \leq a/b \leq (x \setminus 1)/y \leq [x\rho_{(x \setminus 1)/y}(y)] \setminus 1$$

(for  $u = (x \setminus 1)/y$  we have  $x\rho_u(y)u \leq x\{uy/u\}u \leq xuy \leq 1$ )

**Normality:** As  $\lambda_c(x)\lambda_c(x \setminus 1) \leq c \setminus x(x \setminus 1)c \wedge 1 \leq c \setminus c \wedge 1 = 1$ ,

$$\lambda_c(x) \leq \lambda_c(a) \leq \lambda_c(x \setminus 1) \leq \lambda_c(x) \setminus 1$$

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# CNS to congruence

$\Theta_s(S) = \{(a, b) \mid a \leftrightarrow b \in S\}$  is a congruence.

$$a \leftrightarrow b = a \setminus b \wedge b \setminus a \wedge 1$$

**Equivalence:**  $\Theta_s(S)$  is reflexive and symmetric. If

$a \leftrightarrow b, b \leftrightarrow c \in S$ , we have

$$\begin{aligned} & (a \leftrightarrow b)(b \leftrightarrow c) \wedge (b \leftrightarrow c)(a \leftrightarrow b) \leq \\ & \leq (a \setminus b)(b \setminus c) \wedge (c \setminus b)(b \setminus a) \wedge 1 \leq (a \leftrightarrow c) \leq 1. \end{aligned}$$

**Comptibility:** Assume  $a \leftrightarrow b \in S$  and  $c \in A$ .

$$a \setminus b \leq ca \setminus cb \text{ implies } a \leftrightarrow b \leq ca \leftrightarrow cb \leq 1$$

$$\lambda_c(a \leftrightarrow b) \leq c \setminus (a \setminus b)c \wedge c \setminus (b \setminus a)c \wedge e \leq ac \leftrightarrow bc \leq 1$$

$$(a \wedge c) \cdot (a \leftrightarrow b) \leq a(a \leftrightarrow b) \wedge c(a \leftrightarrow b) \leq b \wedge c \text{ implies}$$

$a \leftrightarrow b \leq (a \wedge c) \setminus (b \wedge c)$ . Likewise,  $a \leftrightarrow b \leq (b \wedge c) \setminus (a \wedge c)$ . So,

$$a \leftrightarrow b \leq (a \wedge c) \leftrightarrow (b \wedge c) \leq 1$$

$$a \setminus b \leq (c \setminus a) \setminus (c \setminus b) \text{ and } b \setminus a \leq (c \setminus b) \setminus (c \setminus a) \text{ imply}$$

$$a \leftrightarrow b \leq (c \setminus a) \leftrightarrow (c \setminus b) \leq 1$$

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# CNS to congruence

$$a \setminus b \leq (a \setminus c) / (b \setminus c) \text{ and } b \setminus a \leq (b \setminus c) / (a \setminus c) \text{ imply} \\ a \leftrightarrow b \leq (a \setminus c) \leftrightarrow' (b \setminus c) \leq 1$$

where  $a \leftrightarrow' b = a/b \wedge b/a \wedge 1$ .

So,  $(a \setminus c) \leftrightarrow' (b \setminus c) \in S$  and  $(a \setminus c) \leftrightarrow (b \setminus c) \in S$ .

**Claim:**  $a \leftrightarrow' b \in S$  iff  $a \leftrightarrow b \in S$ .

$$\lambda_b(a \leftrightarrow' b) = b \setminus [a/b \wedge b/a \wedge 1] b \wedge 1 \leq b \setminus a \wedge 1$$

$$\lambda_b(a \leftrightarrow' b) \wedge \lambda_a(a \leftrightarrow' b) \leq a \leftrightarrow b \leq 1$$

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# Lattice isomorphism

1. The CNSs of  $\mathbf{A}$ , the CNMs of  $\mathbf{A}^-$  and the DF of  $\mathbf{A}$  form lattices, denoted by  $\mathbf{CNS}(\mathbf{A})$ ,  $\mathbf{CNM}(\mathbf{A})$  and  $\mathbf{Fil}(\mathbf{A})$ , respectively.
2. All the above lattices are isomorphic to the congruence lattice  $\mathbf{Con}(\mathbf{A})$  of  $\mathbf{A}$  via the maps defined above.
3. The composition of the above maps gives the corresponding map; e.g.,  $M_s(S_c(\theta)) = M_c(\theta)$ .

**Claim:**  $S_c$  and  $\Theta_s$  are inverse maps.

$S = [1]_{\Theta_s(S)}$ :  $a \in S$  implies  $a \leftrightarrow 1 = a \setminus 1 \wedge a \wedge 1 \in S$ .

Conversely,  $(a \leftrightarrow 1) \leq a \leq (a \leftrightarrow 1) \setminus 1$ .

$\theta = \Theta_s(S_c(\theta))$ : If  $(a, b) \in \Theta_s([1]_\theta)$ , then  $a \leftrightarrow b \in [1]_\theta$ , so  $a \leftrightarrow b \theta 1$ . Therefore,  $a \theta a(a \leftrightarrow b) \leq a(a \setminus b) \leq b$ , so  $a \vee b \theta b$ . Likewise,  $a \vee b \theta a$ , so  $a \theta b$ .

Conversely, if  $a \theta b$ , then

$$1 = (a \setminus a \wedge b \setminus b \wedge 1) \theta (a \setminus b \wedge b \setminus a \wedge 1) = a \leftrightarrow b.$$

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**Claim:**  $S_f(F) = S_c(\Theta_f(F))$ . (Sketch)

If  $a \in S_c(\Theta_f(F))$ , then  $a \Theta_f(F) 1$ , so  $a \setminus 1, 1 \setminus a \in F$ .

Hence  $a, 1/a \in F$ . Since  $1 \in F$ , we get  $x = a \wedge 1/a \wedge 1 \in F^-$ .

Obviously,  $x \leq a$ ; also  $a \leq (1/a) \setminus 1 \leq x \setminus 1$ .

Thus,  $a \in S_f(F)$ .

Conversely, if  $a \in S_f(F)$ , then  $x \leq a \leq x \setminus 1$ , for some  $x \in F^-$ .

So,  $a \in F$  and  $1/(x \setminus 1) \leq 1/a$ .

Since,  $x \leq 1/(x \setminus 1)$ , we have  $x \leq 1/a$  and  $1/a \in F$ .

Thus both  $a/1$  and  $1/a$  are in  $F$ . Hence,  $a \in [1]_{\Theta_f(F)}$ .

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If  $X$  is a subset of  $A^-$  and  $Y$  is a subset of  $A$ , then

1. the CNM  $M(X)$  of  $A^-$  generated by  $X$  is equal to  $\Xi^- \Pi \Gamma(X)$ .
2. The CNS  $S(Y)$  of  $\mathbf{A}$  generated by  $Y$  is equal to  $\Xi \Pi \Gamma \Delta(Y)$ .
3. The DF  $F(Y)$  of  $\mathbf{A}$  generated by  $Y \subseteq A$  is equal to  $\uparrow \Pi \Gamma(Y) = \uparrow \Pi \Gamma(Y \wedge 1)$ .
4. The congruence  $\Theta(P)$  on  $\mathbf{A}$  generated by  $P \subseteq A^2$  is equal to  $\Theta_m(M(P'))$ , where  $P' = \{a \leftrightarrow b \mid (a, b) \in P\}$ .

$$X \wedge 1 = \{x \wedge 1 : x \in X\}$$

$$\Delta(X) = \{x \leftrightarrow 1 : x \in X\}$$

$$\Pi(X) = \{x_1 x_2 \cdots x_n : n \geq 1, x_i \in X\} \cup \{1\}$$

$$\Gamma(X) = \{\gamma(x) : \gamma \text{ is an iterated conjugate}\}$$

$$\Xi(X) = \{a \in A : x \leq a \leq x \setminus 1, \text{ for some } x \in X\}$$

$$\Xi^-(X) = \{a \in A : x \leq a \leq 1, \text{ for some } x \in X\}$$

$$a \leftrightarrow b = a \setminus b \wedge b \setminus a \wedge 1$$

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# Generation of CNM

Clearly, if  $M$  is a CNM of  $\mathbf{A}^-$  that contains  $X$ , then it contains  $\Gamma(X)$ , by normality,  $\Pi\Gamma(X)$ , since  $M$  is closed under product, and  $\Xi^- \Pi\Gamma(X)$ , since  $M$  is convex and contains 1. We will now show that  $\Xi^- \Pi\Gamma(X)$  itself is a CNM of  $A^-$ ; it obviously contains  $X$ . It is clearly convex and a submonoid of  $\mathbf{A}^-$ . To show that it is convex, consider  $a \in \Xi^- \Pi\Gamma(X)$  and  $u \in A$ . There are  $x_1, \dots, x_n \in X$  and iterated conjugates  $\gamma_1, \dots, \gamma_n$  such that  $\gamma_1(x_1) \cdots \gamma_n(x_n) \leq a \leq 1$ . We have

$$\prod \lambda_u(\gamma_i(x_i)) \leq \lambda_u(\prod \gamma_i(x_i)) \leq \lambda_u(a) \leq 1.$$

Idea for  $n = 2$ :

$$\begin{aligned} \lambda_u(a_1)\lambda_u(a_2) &= (u \setminus a_1 u \wedge 1)(u \setminus a_2 u \wedge 1) \leq (u \setminus a_1 u)(u \setminus a_2 u) \wedge 1 \\ &\leq u \setminus a_1 u (u \setminus a_2 u) \wedge 1 \leq u \setminus a_1 a_2 u \wedge 1 = \lambda_u(a_1 a_2). \end{aligned}$$

Also,  $\lambda_u(\gamma_i(x_i)) \in \Gamma(X)$  and  $\prod \lambda_u(\gamma_i(x_i)) \in \Pi\Gamma(X)$ , so  $\lambda_u(a) \in \Xi^- \Pi\Gamma(X)$ . Likewise, we have  $\rho_u(a) \in \Xi^- \Pi\Gamma(X)$ .

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We view RL as the subvariety of  $RL_p$  axiomatized by  $0 = 1$ .

The subvariety lattices of HA (Heyting algebras) and Br (Brouwerian algebras) are **uncountable**, hence so are  $\Lambda(RL_p)$  and  $\Lambda(RL)$ .

We will

- determine the **size** of the set of atoms in  $\Lambda(RL_p)$ .
- outline a method for finding **axiomatizations** of certain varieties
- give a description of **joins** in  $\Lambda(RL_p)$ .

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The variety BA of Boolean algebras is generated by the 2-element algebra  $\mathbf{2}$ .  $BA = \text{HSP}(\mathbf{2}) = V(\mathbf{2})$ .

H: homomorphic images

S: subalgebras

P: direct products

$V = \text{HSP}$

Proof idea: Use the prime ideal-filter theorem for distributive lattices to show that every Boolean algebra is a subdirect product of copies of  $\mathbf{2}$ .

*Subdirect product*: A subalgebra of a product such that all projections are onto.

Clearly,  $\mathbf{2}$  is subdirectly irreducible.

*Subdirectly irreducible*: non-trivial and

- it cannot be written as a subdirect product of a family that does not contain it.
- Alt. its congruence lattice is  $\Delta \cup \uparrow \mu$ .

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The variety BA is an atom in the lattice of subvarieties of pRL.

pRL is a *congruence distributive* variety (RL's have lattice reducts) so Jónsson's Lemma applies:

Given a class  $\mathcal{K} \subseteq \text{RL}_p$ , the subdirectly irreducible algebras  $V(\mathcal{K})_{SI}$  in the variety generated by a  $\mathcal{K}$  are in  $\text{HSP}_U(\mathcal{K})$ .

An *ultraproduct*  $\mathbf{A} \in \text{P}_U(\mathcal{K})$  is obtained by taking

- a product  $\prod_{i \in I} A_i$  of  $A_i \in \mathcal{K}$  and then
- a quotient  $\prod_{i \in I} A_i / \cong_U$  by an ultrafilter  $U$  over  $I$  (maximal filter on  $\mathcal{P}(U)$ ):  
for  $\bar{a}, \bar{b} \in \prod_{i \in I} A_i$ ,  $\bar{a} \cong_U \bar{b}$  iff  $\{i \in I : a_i = b_i\} \in U$ .

First order formulas persist under ultraproducts.

Now,  $\text{HSP}_U(\mathbf{2}) = \{\mathbf{2}, \mathbf{1}\}$ , hence  $(V(\mathbf{2}))_{SI} = \{\mathbf{2}\}$ .

Recall that  $\mathcal{V} = V(\mathcal{V}_{SI})$ .

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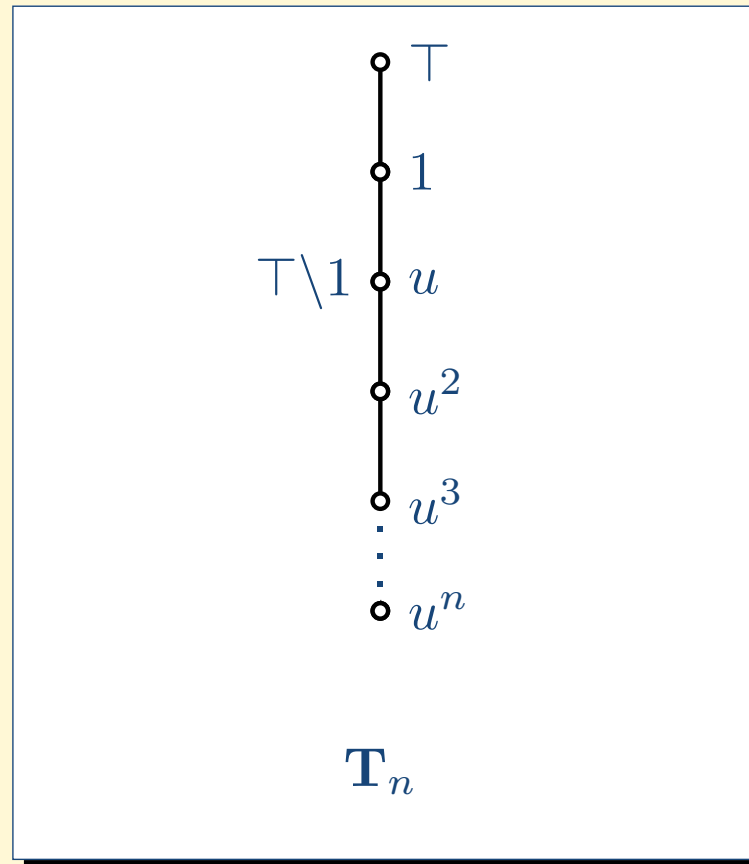
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We define  $\top u = u\top = u$ .

Note that  $\mathbf{T}_n$  is *strictly simple* (has no non-trivial subalgebras or homomorphic images).

So,  $\mathbf{V}(\mathbf{T}_n)$  is an atom of  $\mathbf{\Lambda}(\text{RL})$ .

Moreover, all these atoms are distinct and  $\mathbf{\Lambda}(\text{RL})$  has at least denumerably many atoms.



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# Cancellative atoms

Left cancellativity ( $ab = ac \Rightarrow b = c$ ) can be written equationally:  $x \setminus (xy) = y$ . Right cancellativity is  $(yx) / x = y$ .  $\text{CanRL}$  denotes the variety of cancellative RL's.

**Prop.** There are only 2 cancellative atoms:  $\mathbf{V}(\mathbb{Z})$  and  $\mathbf{V}(\mathbb{Z}^-)$ .

Let  $\mathbf{L} \in \text{CanRL}$ . For  $a \leq 1$ , we have  $1 \leq 1/a$ .

*Claim:* If  $\exists a < 1$  with  $1/a = 1$ , then  $\text{Sg}(a) \cong \mathbb{Z}^-$ .

Since  $a < 1$ , we get  $a^{n+1} < a^n$ , for all  $n \in \mathbb{N}$ , by order preservation and cancellativity. Moreover,  $a^{k+m} / a^m = a^k$  and  $a^m / a^{m+k} = 1$ , for all  $m, k \in \mathbb{N}$ .

*Claim:* If for all  $x < 1$ , we have  $1 < 1/x$ , then  $\mathbf{L}$  is an  $\ell$ -group.

For  $a \in L$  set  $x = (1/a)a$ . Note that  $x \leq 1$ , and if  $x < 1$ , then  $1/x = 1/(1/a)a = (1/a)/(1/a) = 1$ , cancellativity; so  $x = 1$ .

The *negative cone* of a RL  $\mathbf{A} = (A, \wedge, \vee, \cdot, \setminus, /, 1)$  is the RL

$\mathbf{A}^- = (A^-, \wedge, \vee, \cdot, \setminus^{\mathbf{A}^-}, /^{\mathbf{A}^-}, 1)$ , where  $A^- = \{a \in A : a \leq 1\}$ ,  $a \setminus^{\mathbf{A}^-} b = (a \setminus b) \wedge 1$  and  $b /^{\mathbf{A}^-} a = (b/a) \wedge 1$ .

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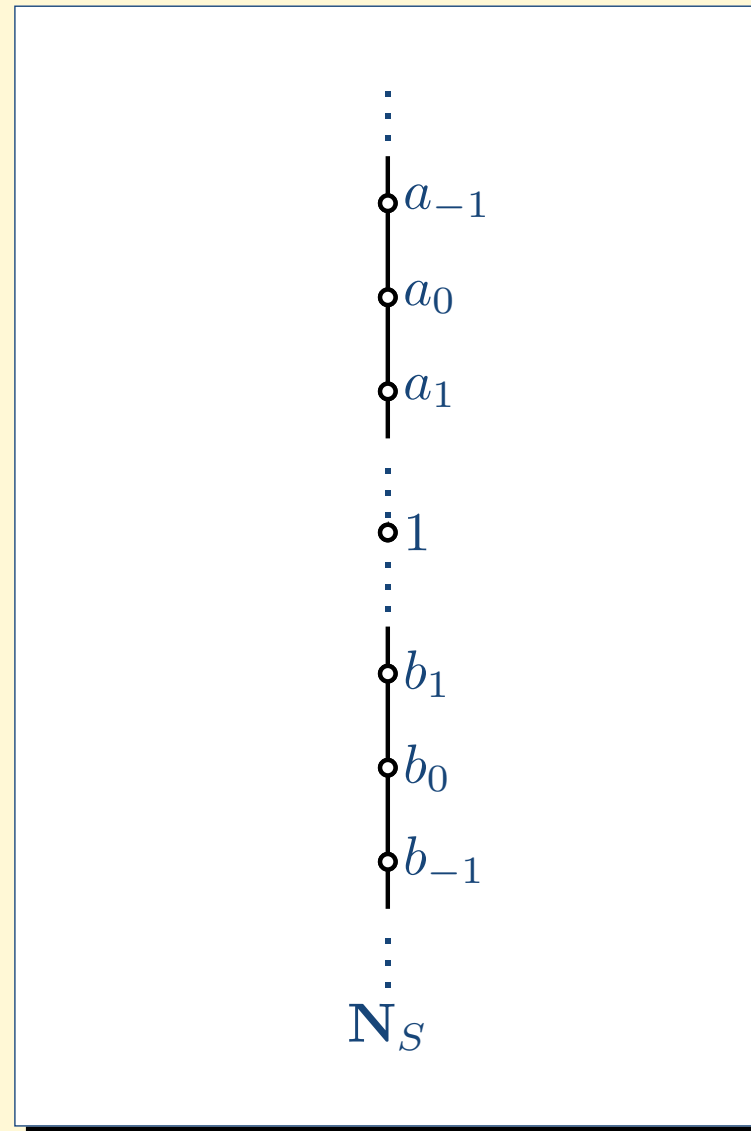
# Idempotent rep. atoms

For  $S \subseteq \mathbb{Z}$ , we define  
 $a_i b_i = a_i$ , if  $i \in S$  and  
 $a_i b_i = b_i$ , if  $i \notin S$ .

Although, we may have

- $S \neq T$ , but  $\mathbf{N}_S \cong \mathbf{N}_T$
- $\mathbf{N}_S \not\cong \mathbf{N}_T$ , but  
 $V(\mathbf{N}_S) \neq V(\mathbf{N}_T)$
- $V(\mathbf{N}_S)$  is not an atom

there are still **continuum**  
**many** atoms  $V(\mathbf{N}_S)$ .



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# Representable RL's

A residuated lattice is called *representable* (or semi-linear) if it is a subdirect product of totally ordered RL's. RRL denotes the class of representable RL's.

Recall that a totally ordered RL satisfies the first-order formula  $(\forall x, y)(x \leq y \text{ or } y \leq x)$   $[(\forall x, y)(1 \leq x \setminus y \text{ or } 1 \leq y \setminus x)]$

Representable Heyting algebras form a variety axiomatized by  $1 = (x \rightarrow y) \vee (y \rightarrow x)$ .

Representable commutative RL's form a variety axiomatized by  $1 \leq (x \rightarrow y)_{\wedge 1} \vee (y \rightarrow x)_{\wedge 1}$ .

RRL is a variety axiomatized by  $1 \leq \gamma_1(x \setminus y) \vee \gamma_2(y \setminus x)$ .

**Goal:** Given a class  $\mathcal{K}$  of RL's axiomatized by a set of positive universal first-order formulas (PUF's), provide an axiomatization for  $V(\mathcal{K})$ .

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The meet of two varieties in  $\Lambda(\text{RL}_p)$  is their intersection.

Also, if  $\mathcal{V}_1$  is axiomatized by  $E_1$  and  $\mathcal{V}_2$  by  $E_2$ , then  $\mathcal{V}_1 \wedge \mathcal{V}_2$  is axiomatized by  $E_1 \cup E_2$ .

On the other hand, the join of two varieties is the variety *generated* by their union.

Also, if  $\mathcal{V}_1$  is axiomatized by  $E_1$  and  $\mathcal{V}_2$  by  $E_2$ , then  $\mathcal{V}_1 \vee \mathcal{V}_2$  may **not** be axiomatized by  $E_1 \cap E_2$ .

## Goals

- Find an **axiomatization** of  $\mathcal{V}_1 \vee \mathcal{V}_2$  in terms of  $E_1$  and  $E_2$ .
- Find situations where: if  $E_1$  and  $E_2$  are finite, then  $\mathcal{V}_1 \vee \mathcal{V}_2$  is **finitely axiomatized**.
- Find  $\mathcal{V}$  such that its finitely axiomatized subvarieties form a lattice.

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If  $\mathcal{V}$  is a congruence distributive variety of finite type and  $\mathcal{V}_{FSI}$  is strictly elementary, then  $\mathcal{V}$  is **finitely axiomatized**.

**Strictly elementary:** Axiomatized by a single FO-sentence.

**Finitely SI:**  $\Delta$  is not the intersection of two non-trivial congruences.

**Cor.** For every variety  $\mathcal{V}$  of RL's, if  $\mathcal{V}_{FSI}$  is *strictly elementary*, then the finitely axiomatized subvarieties of  $\mathcal{V}$  form a lattice.

**Pf.** For finitely axiomatized subvarieties  $\mathcal{V}_1, \mathcal{V}_2$ ,  $(\mathcal{V}_1 \vee \mathcal{V}_2)_{FSI} = (\mathcal{V}_1 \cup \mathcal{V}_2)_{FSI}$  is strictly elementary.

Let  $\mathcal{V}_1, \mathcal{V}_2$  be subvarieties of RL axiomatized by  $E_1, E_2$ , respectively, where  $E_1, E_2$  have *no variables in common*.

The class  $\mathcal{V}_1 \cup \mathcal{V}_2$  is axiomatized by the universal closure of  $(\text{AND } E_1)$  or  $(\text{AND } E_2)$ , over infinitary logic, which is equivalent to the set  $\{\forall\forall(\varepsilon_1 \text{ or } \varepsilon_2) : \varepsilon_1 \in E_1, \varepsilon_2 \in E_2\}$  of **positive universal first-order formulas (PUFs)**.

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In a RL, we say that 1 is *weakly join irreducible*, if for all negative  $a, b$ , whenever  $1 = \gamma(a) \vee \gamma'(b)$ , for all all iterated conjugates  $\gamma, \gamma'$ , then  $a = 1$  or  $b = 1$ .

**Thm.** A RL is FSI iff 1 is weakly join-irreducible.

( $\Leftarrow$ ) Let  $F, G$  be CNS with  $F \cap G = \{1\}$ . For all  $a \in F^-$  and  $b \in G^-$ ,  $1 = \gamma(a) \vee \gamma'(b)$ , for all iterated conjugates, because if  $\gamma(a), \gamma'(b) \leq u$ , then  $u \wedge 1 \in F \cap G = \{1\}$ , so  $1 \leq u$ . Since 1 is weakly join-irreducible,  $a = 1$  or  $b = 1$ .

( $\Rightarrow$ ) Let  $a, b$  be negative elements and assume that  $u \in CNS^-(a) \cap CNS^-(b)$ . Then there exist products of iterated conjugates  $p, q$  of  $a, b$ , resp., such that  $p, q \leq u$ . If  $1 = \gamma(a) \vee \gamma'(b)$ , for all iterated conjugates, then  $1 = p \vee q$ . Thus,  $u = 1$  and  $CNS^-(a) \cap CNS^-(b) = \{1\}$ . Since  $\mathbf{A}$  is FSI,  $CNS^-(a) = \{1\}$  or  $CNS^-(b) = \{1\}$ , hence  $a = 1$  or  $b = 1$ .

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Every **PUF** is equivalent to (the universal closure of) a disjunction of conjunctions of equations.

$s = t$  iff  $(s \leq t \text{ and } t \leq s)$  iff  $(1 \leq s \setminus t \text{ and } 1 \leq t \setminus s)$ .

Every conjunction of equations  $1 \leq p_i$  is equivalent to the equation  $1 \leq p_1 \wedge \cdots \wedge p_n$ .

So, every PUF is equivalent to a formula of the form

$$\alpha = \forall \bar{x} (1 \leq r_1 \text{ or } \cdots \text{ or } 1 \leq r_k)$$

Let  $\tilde{\alpha}_0$  be  $(r_1)_{\wedge 1} \vee \cdots \vee (r_k)_{\wedge 1} = 1$ .

Also, for  $m > 0$  and  $\aleph_0$  fresh variables  $Y$ , we define  $\tilde{\alpha}_m$  as the set of all equations of the form

$$\gamma_1 \vee \cdots \vee \gamma_k = 1$$

where  $\gamma_i \in \Gamma_Y^m(r_i)$  for each  $i \in \{1, \dots, k\}$ . Set  $\tilde{\alpha} = \bigcup_{n \in \omega} \tilde{\alpha}_n$ .

Here  $\Gamma_Y^m(a) = \{\pi_{y_1} \pi_{y_2} \cdots \pi_{y_m}(a_{\wedge 1}) \mid y_i \in Y, \pi_{y_i} \in \{\lambda_{y_i}, \rho_{y_i}\}\}$ .

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# PUF and equations

**Thm.** For a PUF  $\alpha$  and a FSI RL  $\mathbf{A}$ ,  $\mathbf{A} \models \alpha$  iff  $\mathbf{A} \models \tilde{\alpha}$ .

**Pf.** ( $\Rightarrow$ ) If  $\bar{a}$  are elements in  $A$ , then  $1 \leq r_i(\bar{a})$  for some  $i$ .

So,  $\gamma(r_i(\bar{a})_{\wedge 1}) = 1$ , for all  $\gamma$ ; hence,

$$\gamma_1(r_1(\bar{a})_{\wedge 1}) \vee \cdots \vee \gamma_k(r_k(\bar{a})_{\wedge 1}) = 1.$$

( $\Leftarrow$ ) We have  $1 = \gamma_1(r_1(\bar{a})_{\wedge 1}) \vee \cdots \vee \gamma_k(r_k(\bar{a})_{\wedge 1})$ , for all  $\gamma_i$ .

Since  $\mathbf{A}$  is FSI, 1 is weakly join irreducible, so  $r_i(\bar{a})_{\wedge 1} = 1$ , for some  $i$ ; i.e.,  $r_i(\bar{a}) \leq 1$ .

$$\alpha = \forall \bar{x} (1 \leq r_1 \text{ or } \cdots \text{ or } 1 \leq r_k)$$

$$\tilde{\alpha} = \{\gamma_1 \vee \cdots \vee \gamma_k = 1 \mid \gamma_i \in \Gamma_Y(r_i)\}$$

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**Thm.** Let  $\mathcal{K}$  be a class of RLs axiomatized by a set  $\Psi$  of PUF. Then  $V(\mathcal{K})$  is axiomatized, relative to RL, by  $\tilde{\Psi}$ .

**Pf.** Let  $\mathbf{A} \in \text{RL}_{SI}$ . By congruence distributivity and Jónsson's Lemma,  $\mathbf{A} \in V(\mathcal{K})$  iff  $\mathbf{A} \in \text{HSP}_U(\mathcal{K})$ . Furthermore, as PUFs are preserved under H, S and  $P_U$ ,  $\mathbf{A} \in \text{HSP}_U(\mathcal{K})$  iff  $\mathbf{A} \in K$ . Finally,  $\mathbf{A} \in K$  iff  $\mathbf{A} \models \Psi$  iff  $\mathbf{A} \models \tilde{\Psi}$ .

Let  $\mathcal{V}_1, \mathcal{V}_2$  be subvarieties of RL axiomatized by  $E_1, E_2$ , respectively, where  $E_1, E_2$  have *no variables in common*. The class  $\mathcal{V}_1 \cup \mathcal{V}_2$  is axiomatized by the set of PUFs  $\Psi = \{\forall(1 \leq r_1 \text{ or } 1 \leq r_2) \mid (1 \leq r_1) \in E_1, (1 \leq r_2) \in E_2\}$ .

**Thm.**  $\mathcal{V}_1 \vee \mathcal{V}_2$  is axiomatized by

$$\tilde{\Psi} = \{\gamma_1(r_1) \vee \gamma_2(r_2) = 1 \mid (1 \leq r_1) \in E_1, (1 \leq r_2) \in E_2, \gamma_i \in \Gamma\}$$

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**Thm.** The variety RRL generated by all totally ordered residuated lattices is axiomatized by the 4-variable identity  $\lambda_z((x \vee y) \setminus x) \vee \rho_w((x \vee y) \setminus y) = 1$ .

**Pf.** A RL is a chain iff it satisfies  $\forall x, y(x \leq y \text{ or } y \leq x)$ , or

$$\forall x, y(1 \leq (x \vee y) \setminus x \text{ or } 1 \leq (x \vee y) \setminus y).$$

Thus, RRL is axiomatized by the identities

$$1 = \gamma_1((x \vee y) \setminus x) \vee \gamma_2((x \vee y) \setminus y); \gamma_1, \gamma_2 \in \Gamma \quad (\Gamma)$$

So, RRL satisfies the identity

$$\lambda_z((x \vee y) \setminus x) \vee \rho_w((x \vee y) \setminus y) = 1. \quad (\lambda, \rho)$$

Conversely, the variety axiomatized by this identity satisfies

$$x \vee y = 1 \Rightarrow \lambda_z(x) \vee y = 1 \quad x \vee y = 1 \Rightarrow x \vee \rho_w(y) = 1. \quad (\text{imp})$$

By repeated applications of (imp) on  $(\lambda, \rho)$ , we get  $(\Gamma)$ .

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# Finite axiomatization

Let  $\beta = \forall x_1 \forall x_2 (1 \leq x_1 \text{ or } 1 \leq x_2)$  and set  $B_m \Rightarrow B_{m+1} =$   
$$\forall x_1 \forall x_2 [ (\forall \bar{y} \forall z \text{ AND } \tilde{\beta}_m) \Rightarrow (\forall \bar{y} \forall z \text{ AND } \tilde{\beta}_{m+1}) ]$$

**Thm.** Let  $\mathcal{V}_1$  and  $\mathcal{V}_2$  be two varieties of RLs that satisfy  $B_m \Rightarrow B_{m+1}$ . Then

1.  $\mathcal{V}_1 \vee \mathcal{V}_2$  is axiomatized by  $\tilde{\Psi}_m$  + a finite set of equations.
2. If  $\mathcal{V}_1$  and  $\mathcal{V}_2$  are finitely axiomatized then so is  $\mathcal{V}_1 \vee \mathcal{V}_2$

**Pf.** By congruence distributivity  $(\mathcal{V}_1 \vee \mathcal{V}_2)_{FSI} \subseteq \mathcal{V}_1 \cup \mathcal{V}_2$ , so  $(\mathcal{V}_1 \vee \mathcal{V}_2)_{FSI}$  satisfies  $B_m \Rightarrow B_{m+1}$ .  $\mathcal{V}_1 \vee \mathcal{V}_2$  also satisfies  $B_m \Rightarrow B_{m+1}$ , because the latter is a special Horn sentence (Lyndon) and is preserved under subdirect products.

By compactness of FOL,  $B_m \Rightarrow B_{m+1}$  is a consequence of a finite set  $B$  of equations, valid in  $\mathcal{V}_1 \vee \mathcal{V}_2$ .

Note that  $\mathcal{V}_1 \vee \mathcal{V}_2$  is axiomatized by  $\tilde{\Psi}$  and, using

$B_m \Rightarrow B_{m+1}$ ,  $\tilde{\Psi}_m$  implies  $\tilde{\Psi}_n$  for all  $n > m$ .

Hence,  $\mathcal{V}_1 \vee \mathcal{V}_2$  is axiomatized by  $\tilde{\Psi}_m \cup B$ .

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**Thm.** For any variety  $\mathcal{V}$  of RLs,  $\mathcal{V}_{FSI}$  is an elementary class iff it satisfies  $B_m \Rightarrow B_{m+1}$  for some  $m$ .

**Cor.** For every variety  $\mathcal{V}$  of RLs, if  $\mathcal{V}_{FSI}$  is elementary, then the finitely axiomatized subvarieties of  $\mathcal{V}$  form a lattice.

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RRLs satisfy  $B_0 \Rightarrow B_1$ .

$x \vee y = 1 \Rightarrow \gamma_1(x) \vee \gamma_2(y) = 1$ , for all  $\gamma_1, \gamma_2 \in \Gamma_Y^1$ .

$\ell$ -groups satisfy  $B_1 \Rightarrow B_2$ .

For  $a \leq 1$ , we have  $\lambda_z(\lambda_w(a)) = \lambda_{wz}(a)$  and  $\rho_z(a) = \lambda_{z^{-1}}(a)$ .

Subcommutative RSs satisfy  $B_0 \Rightarrow B_1$ .

$k$ -subcommutative RSs are defined by  $(x \wedge 1)^k y = y(x \wedge 1)^k$ .

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# A Hilbert-style axiomatization

$$(MP) \quad \{\phi, \phi \rightarrow \psi\} \vdash_{\mathbf{HL}_e} \psi$$

$$(B) \quad \vdash_{\mathbf{HL}_e} (\phi \rightarrow \psi) \rightarrow [(\psi \rightarrow \chi) \rightarrow (\phi \rightarrow \chi)]$$

$$(C) \quad \vdash_{\mathbf{HL}_e} [\phi \rightarrow (\psi \rightarrow \chi)] \rightarrow [\psi \rightarrow (\phi \rightarrow \chi)]$$

$$(I) \quad \vdash_{\mathbf{HL}_e} \phi \rightarrow \phi$$

$$(AD) \quad \{\phi, \psi\} \vdash_{\mathbf{HL}_e} \phi \wedge \psi$$

$$(CLa) \quad \vdash_{\mathbf{HL}_e} (\phi \wedge \psi) \rightarrow \phi$$

$$(CLb) \quad \vdash_{\mathbf{HL}_e} (\phi \wedge \psi) \rightarrow \psi$$

$$(CR) \quad \vdash_{\mathbf{HL}_e} [(\phi \rightarrow \psi) \wedge (\phi \rightarrow \chi)] \rightarrow [\phi \rightarrow (\psi \wedge \chi)]$$

$$(DRa) \quad \vdash_{\mathbf{HL}_e} \psi \rightarrow (\phi \vee \psi)$$

$$(DRb) \quad \vdash_{\mathbf{HL}_e} \psi \rightarrow (\phi \vee \psi)$$

$$(DL) \quad \vdash_{\mathbf{HL}_e} ((\phi \rightarrow \chi) \wedge (\psi \rightarrow \chi)) \rightarrow (\phi \vee \psi) \rightarrow \chi$$

$$(PR) \quad \vdash_{\mathbf{HL}_e} \phi \rightarrow [\psi \rightarrow (\psi \cdot \phi)]$$

$$(PL) \quad \vdash_{\mathbf{HL}_e} [\psi \rightarrow (\phi \rightarrow \chi)] \rightarrow [(\phi \cdot \psi) \rightarrow \chi]$$

$$(U) \quad \vdash_{\mathbf{HL}_e} 1$$

$$(UP) \quad \vdash_{\mathbf{HL}_e} 1 \rightarrow (\phi \rightarrow \phi)$$

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# Substructural logics

The system **HL** has the following inference rules:

$$\frac{\phi \quad \phi \backslash \psi}{\psi} \text{ (mp)} \quad \frac{\phi \quad \psi}{\phi \wedge \psi} \text{ (adj)} \quad \frac{\phi}{\psi \backslash \phi \psi} \text{ (pn)} \quad \frac{\phi}{\psi \phi / \psi} \text{ (pn)}$$

We write  $\Phi \vdash_{\mathbf{HL}} \psi$ , if the formula  $\psi$  is provable in **HL** from the set of formulas  $\Phi$ .

We do not allow **substitution instances** of formulas in  $\Phi$ .

For example,  $p, p \backslash q \not\vdash_{\mathbf{HL}} r$ .

A set of formulas is called a *substructural logic* if it is closed under  $\vdash_{\mathbf{HL}}$  and substitution.

Substructural logics form a lattice **SL**.

In the following we identify (propositional) **formulas** over  $\{\wedge, \vee, \cdot, \backslash, /, 1\}$  with **terms** over the same signature.

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# Algebraic semantics

For a set of equations  $E \cup \{s = t\}$ , we write

$$E \models_{\text{RL}} s = t$$

if for every residuated lattice  $\mathbf{L} \in \text{RL}$  and for every homomorphism  $f : \mathbf{Fm} \rightarrow \mathbf{L}$ ,

$$f(u) = f(v), \text{ for all } (u = v) \in E, \text{ implies } f(s) = f(t).$$

**Theorem.** The consequence relation  $\vdash_{\text{HL}}$  is *algebraizable*, with RL as an *equivalent algebraic semantics*:

1. if  $\Phi \cup \{\psi\}$  is a set of formulas, then

$$\Phi \vdash_{\text{HL}} \psi \text{ iff } \{1 \leq \phi \mid \phi \in \Phi\} \models_{\text{RL}} 1 \leq \psi, \text{ and}$$

2. if  $E \cup \{t = s\}$  is a set of equations, then

$$E \models_{\text{RL}} t = s \text{ iff } \{u \setminus v \wedge v \setminus u \mid (u = v) \in E\} \vdash_{\text{HL}} t \setminus s \wedge s \setminus t.$$

3.  $s = t \iff \models_{\text{RL}} 1 \leq t \setminus s \wedge s \setminus t$

4.  $\phi \dashv\vdash_{\text{HL}} 1 \setminus (1 \wedge \phi) \wedge (\phi \wedge 1) \setminus 1$

**Theorem.**  $\text{SL}$  and  $\Lambda(\text{RL})$  are dually isomorphic.

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# Substructural logics (examples)

Note that **HL** does not admit

$$(C) \quad [x \rightarrow (y \rightarrow z)] \rightarrow [y \rightarrow (x \rightarrow z)] \quad (xy = yx)$$

$$(K) \quad y \rightarrow (x \rightarrow y) \quad (x \leq 1)$$

$$(W) \quad [x \rightarrow (x \rightarrow y)] \rightarrow (x \rightarrow y) \quad (x \leq x^2)$$

Examples of substructural logics include

- **classical**: (C)+(K)+(W)+  $\neg\neg\phi = \phi$  (DN)
- **intuitionistic** (Brouwer, Heyting): (C)+(K)+(W)
- **many-valued** (Łukasiewicz): (C)+(K)+  
 $(\phi \rightarrow \psi) \rightarrow \psi = \phi \vee \psi$
- **basic** (Hajek): (C)+(K)+  $\phi(\phi \rightarrow \psi) = \phi \wedge \psi$
- **MTL** (Esteva, Godo): (C)+(K)+  $(\phi \rightarrow \psi) \vee (\psi \rightarrow \phi)$
- **relevance** (Anderson, Belnap): (C)+(W)+ Distrib. (+ DN)
- **(MA)linear logic** (Girard): (C)

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# Substructural logics (examples)

**Relevance logic** deals with **relevance**.

$p \rightarrow (q \rightarrow q)$  is not a theorem.

The algebraic models do not satisfy integrality  $x \leq 1$ .

$p \rightarrow (\neg p \rightarrow q)$  [or  $(p \cdot \neg p) \rightarrow q$ ] is not a theorem, where  $\neg p = p \rightarrow 0$ . The algebraic models do not satisfy  $0 \leq x$ .

Commutativity and distributivity are OK, so we get *involutive*  $CDRL$  (they satisfy  $\neg\neg x = x$ ).

**Intuitionistic logic** deals with **provability** or **constructibility**.

The algebraic models are Heyting algebras.

**Many-valued logic** allows different **degrees of truth**.

$[(p \wedge q) \rightarrow r] \leftrightarrow [p \rightarrow (q \rightarrow r)]$  is not a theorem.

The algebraic models do not satisfy  $x \wedge y = x \cdot y$ .

**Linear logic** is **resource sensitive**.  $p \rightarrow (p \rightarrow p)$  [or  $(p \cdot p) \rightarrow p$ ] and  $p \rightarrow (p \cdot p)$  are not theorems.

The algebraic models do not satisfy contraction  $x \leq x^2$ .

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The deduction theorem for CPL states:

$$\Sigma, \psi \vdash_{CPL} \phi \quad \text{iff} \quad \Sigma \vdash_{CPL} \psi \rightarrow \phi$$

**Theorem.** Let  $\Sigma \cup \Psi \cup \{\phi\} \subseteq Fm_{\mathcal{L}}$  and  $\mathbf{L}$  be a logic.

- If  $\mathbf{L}$  is commutative, integral and contractive, then

$$\Sigma, \Psi \vdash_{\mathbf{L}} \phi \quad \text{iff} \quad \Sigma \vdash_{\mathbf{L}} (\bigwedge_{i=1}^n \psi_i) \rightarrow \phi,$$

for some  $n \in \omega$ , and  $\psi_i \in \Psi, i < n$ .

- If  $\mathbf{L}$  is commutative and integral, then

$$\Sigma, \Psi \vdash_{\mathbf{L}} \phi \quad \text{iff} \quad \Sigma \vdash_{\mathbf{L}} (\prod_{i=1}^n \psi_i) \rightarrow \phi,$$

for some  $n \in \omega$ , and  $\psi_i \in \Psi, i < n$ .

- If  $\mathbf{L}$  is commutative, then

$$\Sigma, \Psi \vdash_{\mathbf{L}} \phi \quad \text{iff} \quad \Sigma \vdash_{\mathbf{L}} (\prod_{i=1}^n (\psi_i \wedge 1)) \rightarrow \phi,$$

for some  $n \in \omega$ , and  $\psi_i \in \Psi, i < n$ .

- If  $\mathbf{L}$  is any substructural logic, then

$$\Sigma, \Psi \vdash_{\mathbf{L}} \phi \quad \text{iff} \quad \Sigma \vdash_{\mathbf{L}} (\prod_{i=1}^n \gamma_i(\psi_i)) \setminus \phi,$$

for some  $n \in \omega$ , iterated conjugates  $\gamma_i$  and  $\psi_i \in \Psi, i < n$ .

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# Applications to logic

- Hilbert systems (Algebraization)
- PLDT (Congruence generation for RL's)
- Maximal consistent logics (Atoms in  $\Lambda(\text{RL})$ )
- Axiomatizing intersections of logics (Joins in  $\Lambda(\text{RL})$ )
- Translations (Glivenko, Kolmogorov) between logics, e.g.,  
 $\vdash_{CPL} \phi$  iff  $\vdash_{Int} \neg\neg\phi$  (Structure of  $\Lambda(\text{RL})$  and nuclei)

Algebra	$\leftrightarrow$	Logic
congruence generation	$\leftrightarrow$	PLDT
congruence extension	$\leftrightarrow$	localDT
EDPC	$\leftrightarrow$	deduction theorem
subreduct axiomatization	$\leftrightarrow$	strong separation (Hilbert)
decid. equational th.	$\leftrightarrow$	decid. provability (Gentzen)
finite generation	$\leftrightarrow$	cut elimination (+ fin. proof)
amalgamation	$\leftrightarrow$	interpolation

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A *lattice frame* is a structure  $\mathbf{W} = (W, W', N)$  where  $W$  and  $W'$  are sets and  $N$  is a binary relation from  $W$  to  $W'$ .

If  $\mathbf{L}$  is a lattice,  $\mathbf{W}_{\mathbf{L}} = (L, L, \leq)$  is a lattice frame.

For  $X \subseteq W$  and  $Y \subseteq W'$  we define

$$X^{\triangleright} = \{b \in W' : x N b, \text{ for all } x \in X\}$$
$$Y^{\triangleleft} = \{a \in W : a N y, \text{ for all } y \in Y\}$$

The maps  $\triangleright : \mathcal{P}(W) \rightarrow \mathcal{P}(W')$  and  $\triangleleft : \mathcal{P}(W') \rightarrow \mathcal{P}(W)$  form a Galois connection. The map  $\gamma_N : \mathcal{P}(W) \rightarrow \mathcal{P}(W)$ , where  $\gamma_N(X) = X^{\triangleright\triangleleft}$ , is a closure operator.

**Lemma.** If  $\mathbf{L} = (L, \wedge, \vee)$  is a lattice and  $\gamma$  is a cl.op. on  $\mathbf{L}$ , then  $(\gamma[L], \wedge, \vee_{\gamma})$  is a lattice. [ $x \vee_{\gamma} y = \gamma(x \vee y)$ .]

**Corollary.** If  $\mathbf{W}$  is a lattice frame then the *Galois algebra*  $\mathbf{W}^+ = (\gamma_N[\mathcal{P}(W)], \cap, \cup_{\gamma_N})$  is a complete lattice.

If  $\mathbf{L}$  is a lattice,  $\mathbf{W}_{\mathbf{L}}^+$  is the Dedekind-MacNeille completion of  $\mathbf{L}$  and  $x \mapsto \{x\}^{\triangleleft}$  is an embedding.

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# Residuated frames

A *residuated frame* is a structure  $\mathbf{W} = (W, W', N, \circ, 1)$  where  $W$  and  $W'$  are sets  $N \subseteq W \times W'$ ,  $(W, \circ, 1)$  is a monoid and for all  $x, y \in W$  and  $w \in W'$  there exist subsets  $x \parallel w, w // y \subseteq W'$  such that

$$(x \circ y) N w \Leftrightarrow y N (x \parallel w) \Leftrightarrow x N (w // y)$$

If  $\mathbf{L}$  is a RL,  $\mathbf{W}_{\mathbf{L}} = (L, L, \leq, \cdot, \{1\})$  is a residuated frame.

A *nucleus*  $\gamma$  on a residuated lattice  $\mathbf{L}$  is a closure operator on  $L$  such that  $\gamma(x)\gamma(y) \leq \gamma(xy)$  (or  $\gamma(\gamma(x)\gamma(y)) = \gamma(xy)$ ).

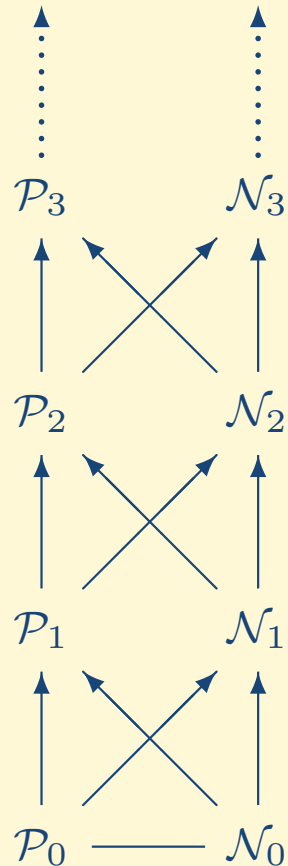
**Theorem.** Given a RL  $\mathbf{L} = (L, \wedge, \vee, \cdot, \backslash, /, 1)$  and a nucleus on  $\mathbf{L}$ , the algebra  $\mathbf{L}_{\gamma} = (L_{\gamma}, \wedge, \vee_{\gamma}, \cdot_{\gamma}, \backslash, /, \gamma(1))$ , is a residuated lattice, where  $x \cdot_{\gamma} y = \gamma(x \cdot y)$ ,  $x \vee_{\gamma} y = \gamma(x \vee y)$ .

**Theorem.** If  $\mathbf{W}$  is a frame, then  $\gamma_N$  is a nucleus on  $\mathcal{P}(W, \circ, \{1\})$ .

**Corollary.** If  $\mathbf{W}$  is a residuated frame then the *Galois algebra*  $\mathbf{W}^+ = \mathcal{P}(W, \circ, 1)_{\gamma_N}$  is a residuated lattice. Moreover, for  $\mathbf{W}_{\mathbf{L}}$ ,  $x \mapsto \{x\}^{\triangleleft}$  is an embedding.

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# Formula hierarchy



- Polarity  $\{\vee, \cdot, 1\}, \{\wedge, \backslash, /\}$
- The sets  $\mathcal{P}_n, \mathcal{N}_n$  of formulas are defined by:
  - (0)  $\mathcal{P}_0 = \mathcal{N}_0 =$  the set of variables
  - (P1)  $\mathcal{N}_n \subseteq \mathcal{P}_{n+1}$
  - (P2)  $\alpha, \beta \in \mathcal{P}_{n+1} \Rightarrow \alpha \vee \beta, \alpha \cdot \beta, 1 \in \mathcal{P}_{n+1}$
  - (N1)  $\mathcal{P}_n \subseteq \mathcal{N}_{n+1}$
  - (N2)  $\alpha, \beta \in \mathcal{N}_{n+1} \Rightarrow \alpha \wedge \beta \in \mathcal{N}_{n+1}$
  - (N3)  $\alpha \in \mathcal{P}_{n+1}, \beta \in \mathcal{N}_{n+1} \Rightarrow \alpha \backslash \beta, \beta / \alpha \in \mathcal{N}_{n+1}$
- $\mathcal{P}_{n+1} = \langle \mathcal{N}_n \rangle_{\vee, \Pi}; \mathcal{N}_{n+1} = \langle \mathcal{P}_n \rangle_{\wedge, \mathcal{P}_{n+1} \backslash, / \mathcal{P}_{n+1}}$
- $\mathcal{P}_n \subseteq \mathcal{P}_{n+1}, \mathcal{N}_n \subseteq \mathcal{N}_{n+1}, \bigcup \mathcal{P}_n = \bigcup \mathcal{N}_n = Fm$
- $\mathcal{P}_1$ -reduced:  $\bigvee \prod p_i$
- $\mathcal{N}_1$ -reduced:  $\bigwedge (p_1 p_2 \cdots p_n \backslash r / q_1 q_2 \cdots q_m)$

$$p_1 p_2 \cdots p_n q_1 q_2 \cdots q_m \leq r$$

- **Sequent:**  $a_1, a_2, \dots, a_n \Rightarrow a_0$   
 ( $x \Rightarrow a, a \in Fm, x \in Fm^*$ )

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$$\begin{array}{c}
 \frac{x \Rightarrow a \quad y \circ a \circ z \Rightarrow c}{y \circ x \circ z \Rightarrow c} \text{ (cut)} \quad \frac{}{a \Rightarrow a} \text{ (Id)} \\
 \\
 \frac{y \circ a \circ z \Rightarrow c}{y \circ a \wedge b \circ z \Rightarrow c} \text{ (\wedge L\ell)} \quad \frac{y \circ b \circ z \Rightarrow c}{y \circ a \wedge b \circ z \Rightarrow c} \text{ (\wedge Lr)} \quad \frac{x \Rightarrow a \quad x \Rightarrow b}{x \Rightarrow a \wedge b} \text{ (\wedge R)} \\
 \\
 \frac{y \circ a \circ z \Rightarrow c \quad y \circ b \circ z \Rightarrow c}{y \circ a \vee b \circ z \Rightarrow c} \text{ (\vee L)} \quad \frac{x \Rightarrow a}{x \Rightarrow a \vee b} \text{ (\vee R\ell)} \quad \frac{x \Rightarrow b}{x \Rightarrow a \vee b} \text{ (\vee Rr)} \\
 \\
 \frac{x \Rightarrow a \quad y \circ b \circ z \Rightarrow c}{y \circ x \circ (a \setminus b) \circ z \Rightarrow c} \text{ (\setminus L)} \quad \frac{a \circ x \Rightarrow b}{x \Rightarrow a \setminus b} \text{ (\setminus R)} \\
 \\
 \frac{x \Rightarrow a \quad y \circ b \circ z \Rightarrow c}{y \circ (b/a) \circ x \circ z \Rightarrow c} \text{ (/L)} \quad \frac{x \circ a \Rightarrow b}{x \Rightarrow b/a} \text{ (/R)} \\
 \\
 \frac{y \circ a \circ b \circ z \Rightarrow c}{y \circ a \cdot b \circ z \Rightarrow c} \text{ (\cdot L)} \quad \frac{x \Rightarrow a \quad y \Rightarrow b}{x \circ y \Rightarrow a \cdot b} \text{ (\cdot R)} \\
 \\
 \frac{y \circ z \Rightarrow a}{y \circ 1 \circ z \Rightarrow a} \text{ (1L)} \quad \frac{}{\varepsilon \Rightarrow 1} \text{ (1R)}
 \end{array}$$

where  $a, b, c \in Fm$ ,  $x, y, z \in Fm^*$ .

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$$\frac{x \Rightarrow a \quad u[a] \Rightarrow c}{u[x] \Rightarrow c} \text{ (cut)} \quad \frac{}{a \Rightarrow a} \text{ (Id)}$$

$$\frac{u[a] \Rightarrow c}{u[a \wedge b] \Rightarrow c} \text{ (\wedge L\ell)} \quad \frac{u[b] \Rightarrow c}{u[a \wedge b] \Rightarrow c} \text{ (\wedge Lr)} \quad \frac{x \Rightarrow a \quad x \Rightarrow b}{x \Rightarrow a \wedge b} \text{ (\wedge R)}$$

$$\frac{u[a] \Rightarrow c \quad u[b] \Rightarrow c}{u[a \vee b] \Rightarrow c} \text{ (\vee L)} \quad \frac{x \Rightarrow a}{x \Rightarrow a \vee b} \text{ (\vee R\ell)} \quad \frac{x \Rightarrow b}{x \Rightarrow a \vee b} \text{ (\vee Rr)}$$

$$\frac{x \Rightarrow a \quad u[b] \Rightarrow c}{u[x \circ (a \setminus b)] \Rightarrow c} \text{ (\setminus L)} \quad \frac{a \circ x \Rightarrow b}{x \Rightarrow a \setminus b} \text{ (\setminus R)}$$

$$\frac{x \Rightarrow a \quad u[b] \Rightarrow c}{u[(b/a) \circ x] \Rightarrow c} \text{ (/L)} \quad \frac{x \circ a \Rightarrow b}{x \Rightarrow b/a} \text{ (/R)}$$

$$\frac{u[a \circ b] \Rightarrow c}{u[a \cdot b] \Rightarrow c} \text{ (\cdot L)} \quad \frac{x \Rightarrow a \quad y \Rightarrow b}{x \circ y \Rightarrow a \cdot b} \text{ (\cdot R)}$$

$$\frac{|u| \Rightarrow a}{u[1] \Rightarrow a} \text{ (1L)} \quad \frac{}{\varepsilon \Rightarrow 1} \text{ (1R)}$$

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# Basic substructural logics

If the sequent  $s$  is provable in **FL** from the set of *sequents*  $S$ , we write  $S \vdash_{\mathbf{FL}} s$ .

$$\frac{u[x \circ y] \Rightarrow c}{u[y \circ x] \Rightarrow c} \quad (e) \quad \text{(exchange)} \quad xy \leq yx$$

$$\frac{u[x \circ x] \Rightarrow c}{u[x] \Rightarrow c} \quad (c) \quad \text{(contraction)} \quad x \leq x^2$$

$$\frac{|u| \Rightarrow c}{u[x] \Rightarrow c} \quad (i) \quad \text{(integrality)} \quad x \leq 1$$

We write  $\mathbf{FL}_{ec}$  for  $\mathbf{FL} + (e) + (c)$ .

**Theorem.** The systems **HL** and **FL** are *equivalent* via the maps  $s(\psi) = (\Rightarrow \psi)$  and  $\phi(a_1, a_2, \dots, a_n \Rightarrow a) = a_n \setminus (\dots (a_2 \setminus (a_1 \setminus a)) \dots)$ ;

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# Examples of frames (FL)

Consider the **Gentzen system FL** (full Lambek calculus).

We define the frame  $\mathbf{W}_{\mathbf{FL}}$ , where

- $(W, \circ, \varepsilon)$  to be the free monoid over the set  $Fm$  of all formulas
- $W' = S_W \times Fm$ , where  $S_W$  is the set of all *unary linear polynomials*  $u[x] = y \circ x \circ z$  of  $W$ , and
- $x N (u, a)$  iff  $\vdash_{\mathbf{FL}} u[x] \Rightarrow a$ .

For

$$(u, a) // x = \{(u[\_ \circ x], a)\} \text{ and } x \backslash (u, a) = \{(u[x \circ \_], a)\},$$

we have

$$\begin{aligned} x \circ y N (u, a) & \text{ iff } \vdash_{\mathbf{FL}} u[x \circ y] \Rightarrow a \\ & \text{ iff } \vdash_{\mathbf{FL}} u[x \circ y] \Rightarrow a \\ & \text{ iff } x N (u[\_ \circ y], a) \\ & \text{ iff } y N (u[x \circ \_], a). \end{aligned}$$

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# Examples of frames (FEP)

Let  $\mathbf{A}$  be a residuated lattice and  $\mathbf{B}$  a **partial subalgebra** of  $\mathbf{A}$ .

We define the frame  $\mathbf{W}_{\mathbf{A},\mathbf{B}}$ , where

- $(W, \cdot, 1)$  to be the submonoid of  $\mathbf{A}$  generated by  $B$ ,
- $W' = S_B \times B$ , where  $S_W$  is the set of all *unary linear polynomials*  $u[x] = y \circ x \circ z$  of  $(W, \cdot, 1)$ , and
- $x N (u, b)$  by  $u[x] \leq_{\mathbf{A}} b$ .

For

$$(u, a) // x = \{(u[\_ \cdot x], a)\} \text{ and } x \backslash (u, a) = \{(u[x \cdot \_], a)\},$$

we have

$$\begin{aligned} x \cdot y N (u, a) & \text{ iff } u[x \cdot y] \leq a \\ & \text{ iff } x N (u[\_ \cdot y], a) \\ & \text{ iff } y N (u[x \cdot \_], a). \end{aligned}$$

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$$\begin{array}{c}
\frac{xNa \quad aNz}{xNz} \text{ (CUT)} \quad \frac{}{aN a} \text{ (Id)} \\
\frac{xNa \quad bNz}{x \circ (a \setminus b)Nz} \text{ (\setminus L)} \quad \frac{a \circ xNb}{xNa \setminus b} \text{ (\setminus R)} \\
\frac{xNa \quad bNz}{(b/a) \circ xNz} \text{ (/L)} \quad \frac{x \circ aNb}{xNb/a} \text{ (/R)} \\
\frac{a \circ bNz}{a \cdot bNz} \text{ (\cdot L)} \quad \frac{xNa \quad yNb}{x \circ yNa \cdot b} \text{ (\cdot R)} \\
\frac{aNz}{a \wedge bNz} \text{ (\wedge L\ell)} \quad \frac{bNz}{a \wedge bNz} \text{ (\wedge Lr)} \quad \frac{xNa \quad xNb}{xNa \wedge b} \text{ (\wedge R)} \\
\frac{aNz \quad bNz}{a \vee bNz} \text{ (\vee L)} \quad \frac{xNa}{xNa \vee b} \text{ (\vee R\ell)} \quad \frac{xNb}{xNa \vee b} \text{ (\vee Rr)} \\
\frac{\varepsilon Nz}{1Nz} \text{ (1L)} \quad \frac{}{\varepsilon N1} \text{ (1R)}
\end{array}$$

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The following properties hold for  $\mathbf{W}_L$ ,  $\mathbf{W}_{FL}$  and  $\mathbf{W}_{A,B}$ :

1.  $\mathbf{W}$  is a residuated frame
2.  $\mathbf{B}$  is a (partial) algebra of the same type,  $(\mathbf{B} = \mathbf{L}, \mathbf{Fm}, \mathbf{B})$
3.  $B$  generates  $(W, \circ, \varepsilon)$  (as a monoid)
4.  $W'$  contains a copy of  $B$  ( $b \leftrightarrow (id, b)$ )
5.  $N$  satisfies GN, for all  $a, b \in B, x, y \in W, z \in W'$ .

We call such pairs  $(\mathbf{W}, \mathbf{B})$  *Gentzen frames*.

A *cut-free Gentzen frame* is not assumed to satisfy the (CUT)-rule.

**Theorem.** Given a Gentzen frame  $(\mathbf{W}, \mathbf{B})$ , the map  $\{\}^\triangleleft : \mathbf{B} \rightarrow \mathbf{W}^+, b \mapsto \{b\}^\triangleleft$  is a (partial) homomorphism. (Namely, if  $a, b \in B$  and  $a \bullet b \in B$  ( $\bullet$  is a connective) then  $\{a \bullet_{\mathbf{B}} b\}^\triangleleft = \{a\}^\triangleleft \bullet_{\mathbf{W}^+} \{b\}^\triangleleft$ ).

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**Key Lemma.** Let  $(\mathbf{W}, \mathbf{B})$  be a Gentzen frame. For all  $a, b \in B$ ,  $k, l \in \mathbf{W}^+$  and for every connective  $\bullet$ , if  $a \bullet b \in B$ ,  $a \in X \subseteq \{a\}^\triangleleft$  and  $b \in Y \subseteq \{b\}^\triangleleft$ , then

1.  $a \bullet_{\mathbf{B}} b \in X \bullet_{\mathbf{W}^+} Y \subseteq \{a \bullet_{\mathbf{B}} b\}^\triangleleft$  ( $1_{\mathbf{B}} \in 1_{\mathbf{W}^+} \subseteq \{1_{\mathbf{B}}\}^\triangleleft$ )
2. In particular,  $a \bullet_{\mathbf{B}} b \in \{a\}^\triangleleft \bullet_{\mathbf{W}^+} \{b\}^\triangleleft \subseteq \{a \bullet_{\mathbf{B}} b\}^\triangleleft$ .
3. Furthermore, because of (CUT), we have equality.

**Proof** Let  $\bullet = \vee$ . If  $x \in X$ , then  $x \in \{a\}^\triangleleft$ ; so  $xNa$  and  $xNa \vee b$ , by  $(\vee R\ell)$ ; hence  $x \in \{a \vee b\}^\triangleleft$  and  $X \subseteq \{a \vee b\}^\triangleleft$ . Likewise  $Y \subseteq \{a \vee b\}^\triangleleft$ , so  $X \cup Y \subseteq \{a \vee b\}^\triangleleft$  and  $X \vee Y = \gamma(X \cup Y) \subseteq \{a \vee b\}^\triangleleft$ .

On the other hand, let  $X \vee Y \subseteq \{z\}^\triangleleft$ , for some  $z \in W$ . Then,  $a \in X \subseteq X \vee Y \subseteq \{z\}^\triangleleft$ , so  $aNz$ . Similarly,  $bNz$ , so  $a \vee bNz$  by  $(\vee L)$ , hence  $a \vee b \in \{z\}^\triangleleft$ . Thus,  $a \vee b \in X \vee Y$ .

We used that every closed set is an intersection of *basic closed sets*  $\{z\}^\triangleleft$ , for  $z \in W$ .

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For a **residuated lattice**  $\mathbf{L}$ , we associated the Gentzen frame  $(\mathbf{W}_{\mathbf{L}}, \mathbf{L})$ .

The underlying poset of  $\mathbf{W}_{\mathbf{L}}^+$  is the *Dedekind-MacNeille completion* of the underlying poset reduct of  $\mathbf{L}$ .

**Theorem.** The map  $x \mapsto x^{\triangleleft}$  is an embedding of  $\mathbf{L}$  into  $\mathbf{W}_{\mathbf{L}}^+$ .

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# Completeness - Cut elimination

For every homomorphism  $f : \mathbf{Fm} \rightarrow \mathbf{B}$ , let  $\bar{f} : \mathbf{Fm}_{\mathcal{L}} \rightarrow \mathbf{W}^+$  be the homomorphism that extends  $\bar{f}(p) = \{f(p)\}^{\triangleleft}$  ( $p$ : variable.)

**Corollary.** If  $(\mathbf{W}, \mathbf{B})$  is a cf Gentzen frame, for every homomorphism  $f : \mathbf{Fm} \rightarrow \mathbf{B}$ , we have  $f(a) \in \bar{f}(a) \subseteq \downarrow f(a)$ . If we have (CUT), then  $\bar{f}(a) = \downarrow f(a)$ .

We define  $\mathbf{W}_{\mathbf{FL}} \models x \Rightarrow c$  by  $f(x) N f(c)$ , for all  $f$ .

**Theorem.** If  $\mathbf{W}_{\mathbf{FL}}^+ \models x \leq c$ , then  $\mathbf{W}_{\mathbf{FL}} \models x \Rightarrow c$ .

Idea: For  $f : \mathbf{Fm} \rightarrow \mathbf{B}$ ,  $f(x) \in \bar{f}(x) \subseteq \bar{f}(c) \subseteq \{f(c)\}^{\triangleleft}$ , so  $f(x) N f(c)$ .

**Corollary.** FL is complete with respect to  $\mathbf{W}_{\mathbf{FL}}^+$ .

**Corollary.** The algebra  $\mathbf{W}_{\mathbf{FL}}^+$  generates RL.

The frame  $\mathbf{W}_{\mathbf{FL}^f}$  corresponds to cut-free FL.

**Corollary (CE).** FL and  $\mathbf{FL}^f$  prove the same sequents.

**Corollary.** FL and the equational theory of RL are decidable.

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# Finite model property

For  $\mathbf{W}_{\mathbf{FL}}$ , given  $(x, z) \in W \times W'$  (if  $z = (u, c)$ , then  $u(x) \Rightarrow c$  is a sequent), we define  $(x, z)^\uparrow$  as the smallest subset of  $W \times W'$  that contains  $(x, z)$  and is closed upwards with respect to the rules of  $\mathbf{FL}^f$ . Note that  $(x, z)^\uparrow$  is finite.

The new frame  $\mathbf{W}'$  associated with  $N' = N \cup ((y, v)^\uparrow)^c$  is residuated and Gentzen.

Clearly,  $(N')^c$  is finite, so it has a finite domain  $Dom((N')^c)$  and codomain  $Cod((N')^c)$ .

For every  $z \notin Cod((N')^c)$ ,  $\{z\}^\triangleleft = W$ . So,  $\{\{z\}^\triangleleft : z \in W\}$  is finite and a basis for  $\gamma_{N'}$ . So,  $\mathbf{W}'^+$  is finite.

Moreover, if  $u(x) \Rightarrow c$  is not provable in  $\mathbf{FL}$ , then it is not valid in  $\mathbf{W}'^+$ .

**Corollary.** The system  $\mathbf{FL}$  has the finite model property.

**Corollary.** The variety of residuated lattices is generated by its finite members.

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A class of algebras  $\mathcal{K}$  has the *finite embeddability property (FEP)* if for every  $\mathbf{A} \in \mathcal{K}$ , every finite partial subalgebra  $\mathbf{B}$  of  $\mathbf{A}$  can be (partially) embedded in a finite  $\mathbf{D} \in \mathcal{K}$ .

**Theorem.** Every variety of integral RL's axiomatized by equations over  $\{\vee, \cdot, 1\}$  has the FEP.

- $\mathbf{B}$  embeds in  $\mathbf{W}_{\mathbf{A},\mathbf{B}}^+$  via  $\{\_ \}^\triangleleft : \mathbf{B} \rightarrow \mathbf{W}^+$
- $\mathbf{W}_{\mathbf{A},\mathbf{B}}^+$  is finite
- $\mathbf{W}_{\mathbf{A},\mathbf{B}}^+ \in \mathcal{V}$

**Corollary.** These varieties are generated as quasivarieties by their finite members.

**Corollary.** The corresponding logics have the *strong finite model property*:

if  $\Phi \not\vdash \psi$ , for finite  $\Phi$ , then there is a finite counter-model, namely there is  $\mathbf{D} \in \mathcal{V}$  and a homomorphism  $f : \mathbf{Fm} \rightarrow \mathbf{D}$ , such that  $f(\phi) = 1$ , for all  $\phi \in \Phi$ , but  $f(\psi) \neq 1$ .

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Idea: As every element in  $\mathbf{W}_{A,B}^+$  is an intersection of basic elements. So it suffices to prove that there are only finitely many such elements.

Idea: Replace the frame  $\mathbf{W}_{A,B}$  by one  $\mathbf{W}_{A,B}^M$ , where it is easier to work.

Let  $M$  be the free monoid with unit over the set  $B$  and  $f : M \rightarrow W$  the extension of the identity map.

$$M \xrightarrow{f} W \overset{N}{\dashrightarrow} W'$$

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# Equations 1

Idea: Express equations over  $\{\vee, \cdot, 1\}$  at the frame level.

For an equation  $\varepsilon$  over  $\{\vee, \cdot, 1\}$  we distribute products over joins to get  $s_1 \vee \cdots \vee s_m = t_1 \vee \cdots \vee t_n$ .  $s_i, t_j$ : monoid terms.

$s_1 \vee \cdots \vee s_m \leq t_1 \vee \cdots \vee t_n$  and  $t_1 \vee \cdots \vee t_n \leq s_1 \vee \cdots \vee s_m$ .

The first is equivalent to:  $\&(s_j \leq t_1 \vee \cdots \vee t_n)$ .

We proceed by example:  $x^2y \leq xy \vee yx$

$$(x_1 \vee x_2)^2y \leq (x_1 \vee x_2)y \vee y(x_1 \vee x_2)$$

$$x_1^2y \vee x_1x_2y \vee x_2x_1y \vee x_2^2y \leq x_1y \vee x_2y \vee yx_1 \vee yx_2$$

$$x_1x_2y \leq x_1y \vee x_2y \vee yx_1 \vee yx_2$$

$$\frac{x_1y \leq v \quad x_2y \leq v \quad yx_1 \leq v \quad yx_2 \leq v}{x_1x_2y \leq v}$$

$$\frac{x_1 \circ y \ N \ z \quad x_2 \circ y \ N \ z \quad y \circ x_1 \ N \ z \quad y \circ x_2 \ N \ z}{x_1 \circ x_2 \circ y \ N \ z} R(\varepsilon)$$

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**Theorem.** If  $(\mathbf{W}, \mathbf{B})$  is a Gentzen frame and  $\varepsilon$  an equation over  $\{\vee, \cdot, 1\}$ , then  $(\mathbf{W}, \mathbf{B})$  satisfies  $R(\varepsilon)$  iff  $\mathbf{W}^+$  satisfies  $\varepsilon$ .

(The linearity of the denominator of  $R(\varepsilon)$  plays an important role in the proof.)

**Corollary** If an equation over  $\{\vee, \cdot, 1\}$  is valid in  $\mathbf{A}$ , then it is also valid in  $\mathbf{W}_{\mathbf{A}, \mathbf{B}}^+$ , for every partial subalgebra  $\mathbf{B}$  of  $\mathbf{A}$ .

Consequently,  $\mathbf{W}_{\mathbf{A}, \mathbf{B}}^+ \in \mathcal{V}$ .

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# Structural rules

Given an equation  $\varepsilon$  of the form  $t_0 \leq t_1 \vee \dots \vee t_n$ , where  $t_i$  are  $\{\cdot, 1\}$ -terms we construct the rule  $R(\varepsilon)$

$$\frac{u[t_1] \Rightarrow a \quad \dots \quad u[t_n] \Rightarrow a}{u[t_0] \Rightarrow a} (R(\varepsilon))$$

where the  $t_i$ 's are evaluated in  $(W, \circ, \varepsilon)$ . Such a rule is called *linear* if all variables in  $t_0$  are distinct.

**Theorem.** Every system obtained from **FL** by adding linear rules has the cut elimination property.

A set of rules of the form  $R(\varepsilon)$  is called *reducing* if there is a complexity measure that decreases with upward applications of the rules (and the rules of **FL**).

**Theorem.** Every system obtained from **FL** by adding linear reducing rules is decidable. The subvariety of residuated lattices axiomatized by the corresponding equations has decidable equational theory.

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# Amalgamation-Interpolation

Given algebras  $A, B, C$ , maps  $f : A \rightarrow B$  and  $g : A \rightarrow C$  and Gentzen frames  $\mathbf{W}_B, \mathbf{W}_C$ , we define the frame  $\mathbf{W}$  on  $B \cup C$ , where  $N$  is specified by  $\Gamma_B, \Gamma_C \ N \ \beta$  iff there exists  $\alpha \in A$  such that  $\Gamma_C \ N_C \ g(\alpha)$  and  $\Gamma_B, f(\alpha) \ N_B \ \beta$ .

**Theorem.**  $\mathbf{W}$  is a Gentzen frame. Hence  $\triangleleft : \mathbf{B} \cup \mathbf{C} \rightarrow \mathbf{W}^+$  is a quasihomomorphism.

Let  $\mathbf{D} = \mathbf{W}^+$  and  $h, k$  the restrictions of  $\triangleleft$  to  $\mathbf{B}$  and  $\mathbf{C}$ .

**Corollary.** The maps  $h : \mathbf{B} \rightarrow \mathbf{D}$  and  $k : \mathbf{C} \rightarrow \mathbf{D}$  are homomorphisms. Moreover, injections and surjections transfer: If  $f$  is injective (surjective), so is  $h$ .

**Corollary.** Commutative RL has the amalgamation property ( $f, g$  injective) and the congruence extension property ( $f$  injective,  $g$  surjective).

**Corollary.**  $\mathbf{FL}_e$  has the Craig interpolation property and enjoys the Local Deduction Theorem.

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- **Cut-elimination (CE)** and **finite model property (FMP)** for **FL**, (cyclic) **InFL**. Generation by finite members for **RL**, **InFL**
- The **finite embeddability property (FEP)** for integral **RL** with  $\{\vee, \cdot, 1\}$ -axioms.
- The **strong separation property** for **HL**
- The above extend to the **non-associative case**, as well as with the addition of suitable **structural rules**
- **Amalgamation** for commutative **RL** and **interpolation** for commutative **FL**
- (Craig) **Interpolation**, **Robinson Property**, **disjunction property** and **Maximova variable separation property** for **FL<sub>e</sub>**
- **Super-amalgamation**, **Transferable injections**, **Congruence extension property** for commutative **RL**

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# (Un)decidability

**Theorem.** The quasiequational theory of RL is undecidable. (Because we can embed semigroups/monoids.) The same holds for commutative RL.

**Theorem.** The equational theory of modular RL is undecidable. (By transferring the corresponding result for modular lattices).

**Theorem.** The equational theory of commutative, distributive RL is decidable.

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# Word problem (1)

A finitely presented algebra  $\mathbf{A} = (X|R)$  (in a class  $\mathcal{K}$ ) has a *solvable word problem* (WP) if there is an algorithm that, given any pair of words over  $X$ , decides if they are equal or not.

A class of algebras has *solvable WP* if all finitely presented algebras in it do.

For example, the varieties of semigroups, groups,  $\ell$ -groups, modular lattices have *unsolvable WP*.

**Main result:** The variety CDRL of commutative, distributive residuated lattices has *unsolvable WP*.

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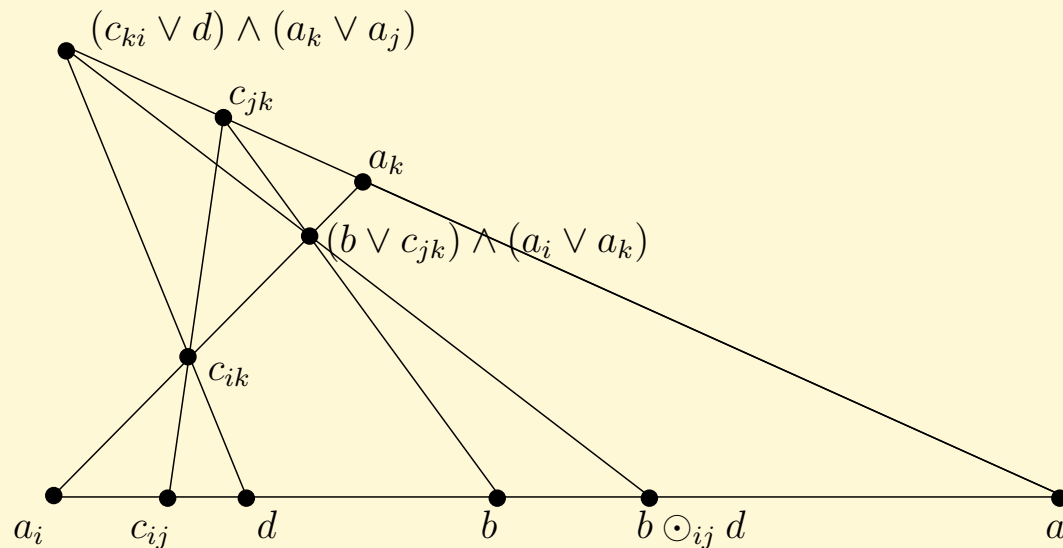
# Word problem (2)

**Main idea:** Embed semigroups, whose WP is unsolvable.

Residuated lattices have a semigroup operation  $\cdot$ , but commutative semigroups have a decidable WP.

**Alternative approach:** Come up with another term definable operation  $\odot$  in residuated lattices that is associative.

**Intuition:** Coordinization in projective geometry and modular lattices.



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# Word problem (3)

We define an *n-frame* in a residuated lattice consisting of elements  $a_1, \dots, a_n$  and  $c_{ij}$ , for  $1 \leq i < j \leq n$  and satisfying certain conditions (the  $a_i$ 's are linearly independent,  $c_{ij}$  is on the line generated by  $a_i$  and  $a_j$  etc.).

We use the operations  $\vee$  and  $\cdot$ .

We define the 'line'  $L_{ij}$  and the operation  $\odot_{ij}$ .

**Theorem** Given an  $\mathbb{4}$ -frame in a residuated lattice the algebra  $(L_{ij}, \odot_{ij})$  is a **semigroup**.

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# Word problem (4)

Given a finitely presented semigroup  $S$  and a variety  $\mathcal{V}$  of residuated lattices, we construct a finitely presented residuated lattice  $A(S, \mathcal{V})$  in  $\mathcal{V}$ .

Given a vector space  $W$ , its powerset forms a distributive residuated lattice  $A_W$ .

## Theorem If

1.  $\mathcal{V}$  is a variety of distributive residuated lattices containing  $A_W$  for some infinite-dimensional vector space  $W$  and
2.  $S$  is a finitely presented semigroup with unsolvable WP, then the residuated lattice  $A(S, \mathcal{V})$  in  $\mathcal{V}$  has **unsolvable WP**.

In the proof we show that for every pair of semigroup words  $r, s$ ,

$S$  satisfies  $r \cdot (\bar{x}) = s \cdot (\bar{x})$  iff  $A(S, \mathcal{V})$  satisfies  $r^\odot(\bar{x}') = s^\odot(\bar{x}')$ .

**Corollary** The WP of CDRL is **unsolvable**.

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# Word problem (5)

A *quasi-equation* is a formula of the form

$$(s_1 = t_1 \ \& \ s_2 = t_2 \ \& \ \cdots \ \& \ s_n = t_n) \Rightarrow s = t$$

The solvability/decidability of the WP states that given any set of equations  $s_1 = t_1, s_2 = t_2, \dots, s_n = t_n$  there is an algorithm that decides all quasi-equations of the above form.

The solvability of the *quasi-equational theory* states that there is an algorithm that decides all quasi-equations of the above form.

**Corollary** The *quasi-equational* theory of CDRL is **undecidable**.

**Corollary** The *equational* theory of CDRL is **decidable**.

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J. Cole	K. Terui
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N. Galatos	C. van Alten
J. Hart	M. Ward

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